



电子科技大学

University of Electronic Science and Technology of China

9 Nanoholes array and polarization effect



物理电子学院

School of Physical Electronics

巴比涅原理 (Babinet principle)

巴比涅原理：在点光源照明下，两个互补的衍射屏 $u_0(x,y)$ 、 $u_c(x,y)$ 在与点光源共轭的平面上，除了点光源的几何像点外，两者有着相同的夫琅禾费衍射图样。

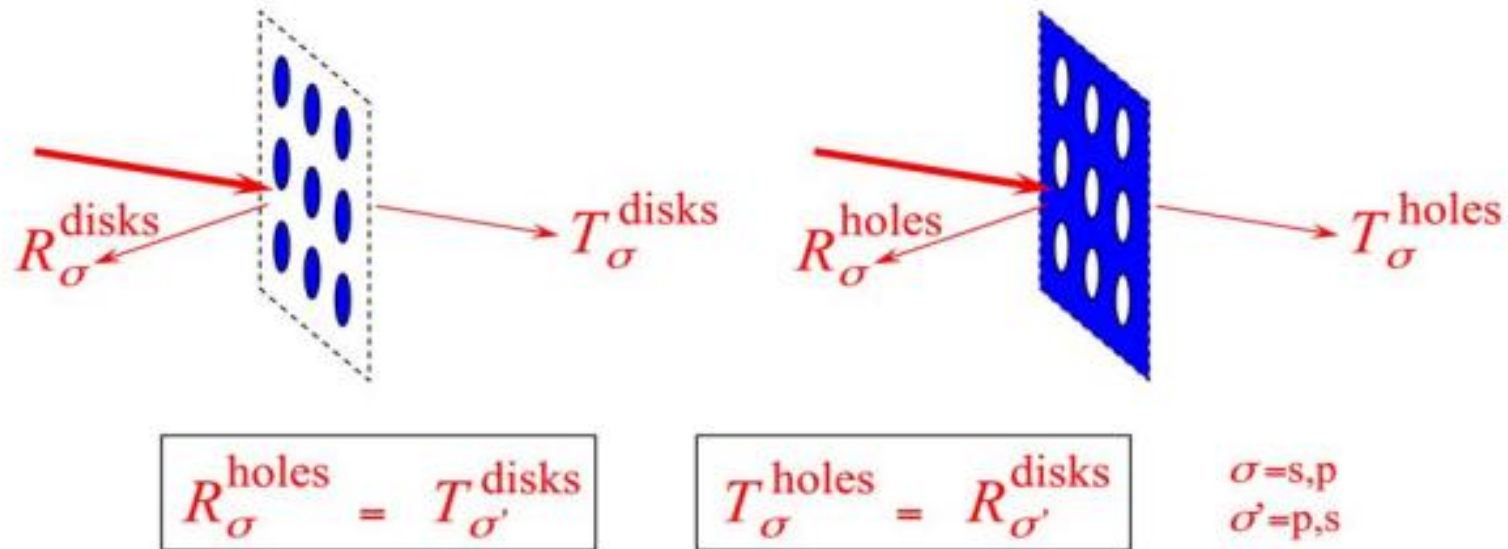
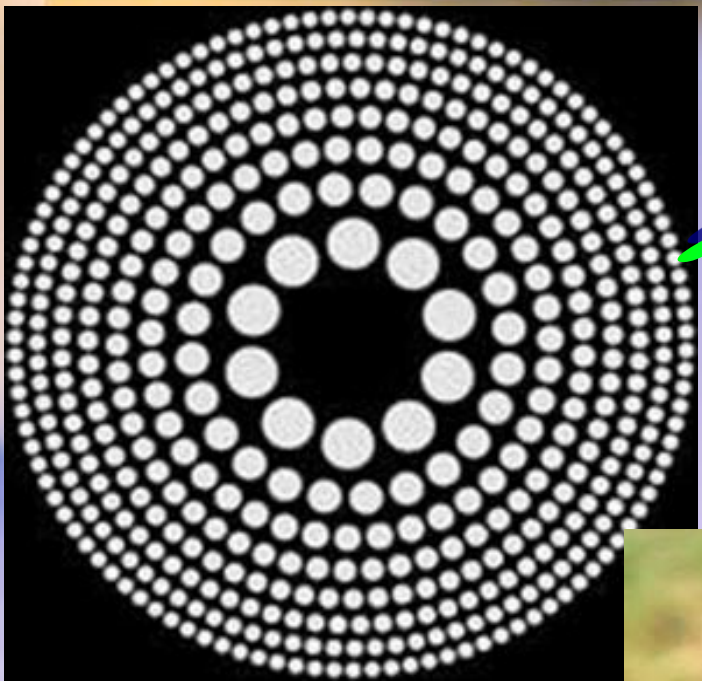
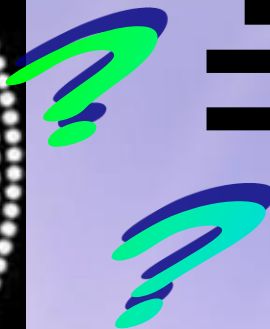


FIG. 5. (Color online) Babinet's principle applied to disk and hole arrays. The transmittance (reflectance) of the disk array for light of a given polarization σ (s or p) is identical to the reflectance (transmittance) of the complementary hole array for orthogonal polarization σ' (p or s , respectively).

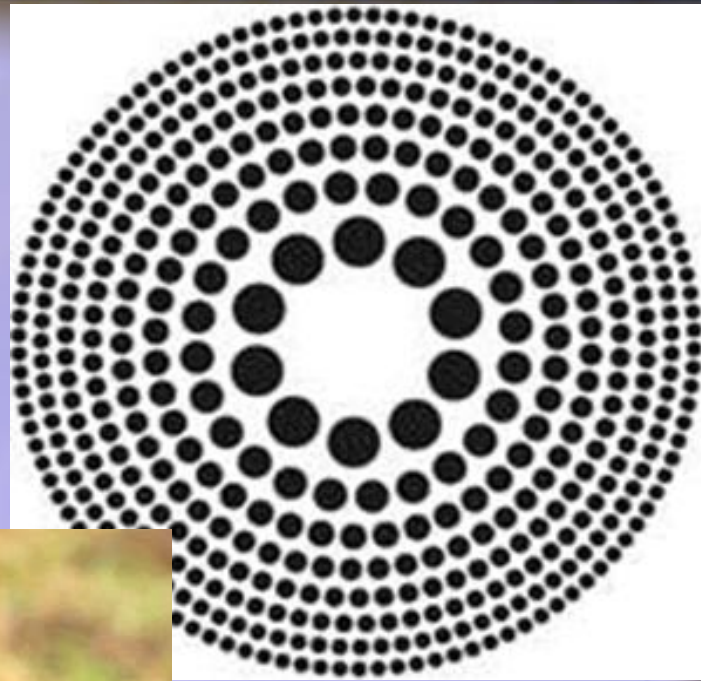


圆斑circular disk

由金属薄膜
构成的纳光
子结构



?



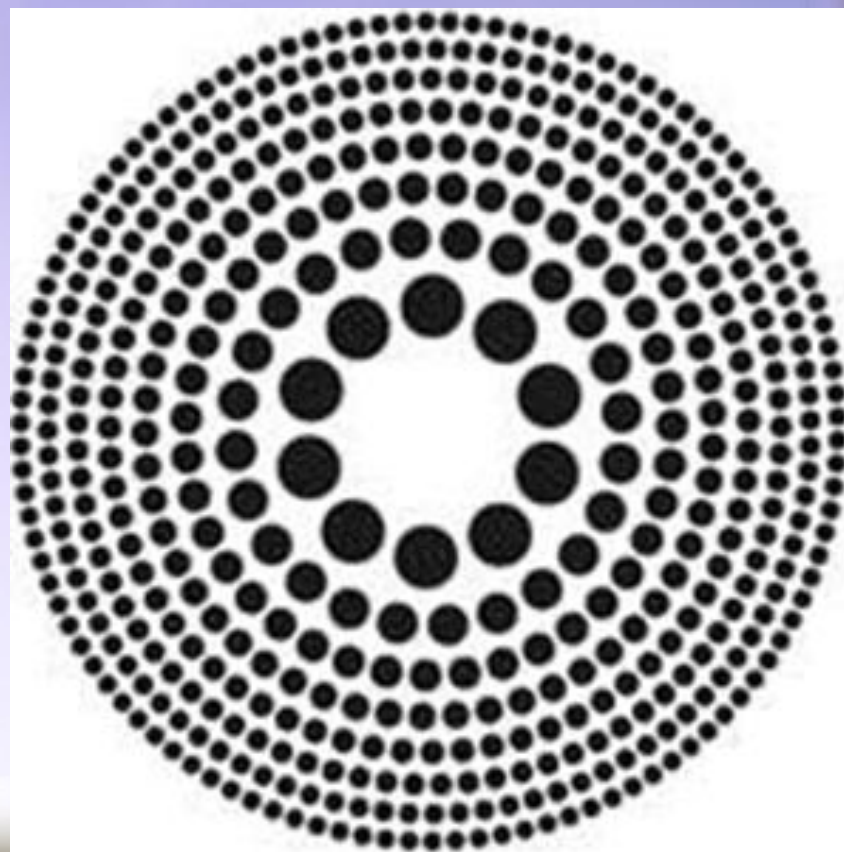
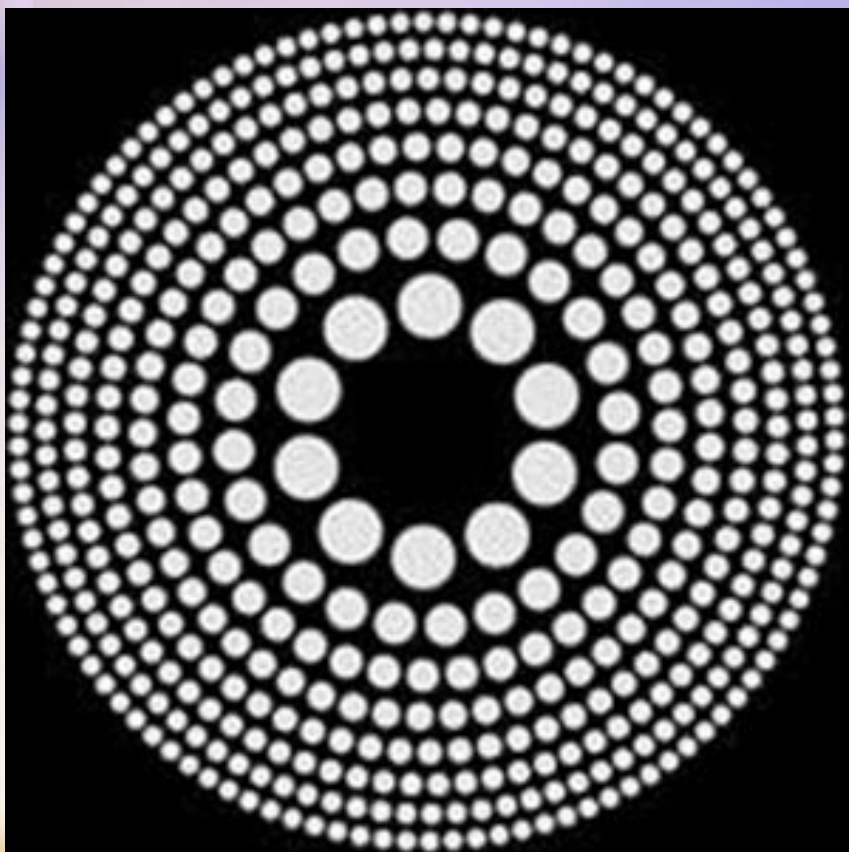
圆孔

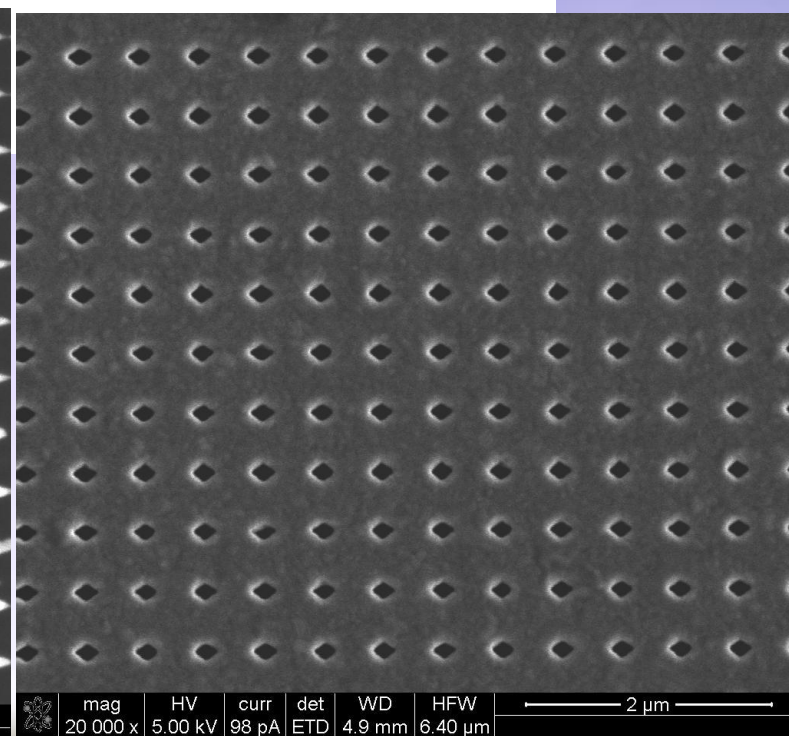
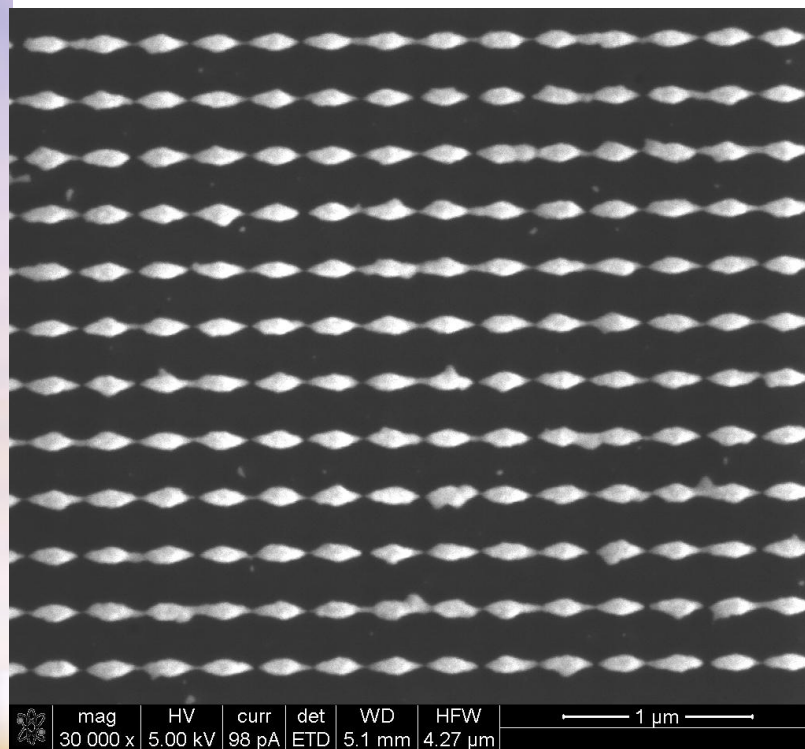
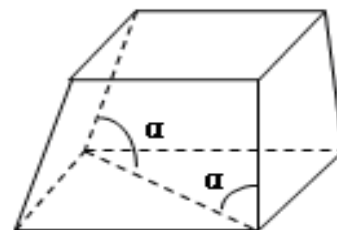
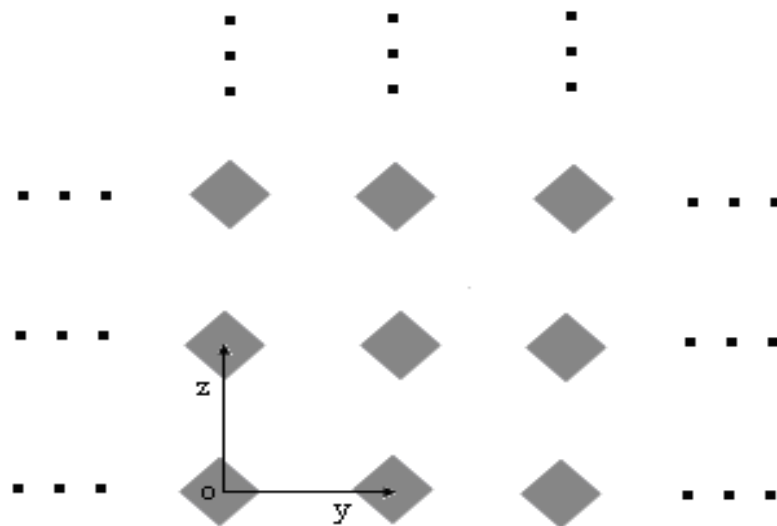


巴比涅原理对于纳光子结构不适用



- 既非衍射问题
- 亦非夫琅禾费远场光强度分布



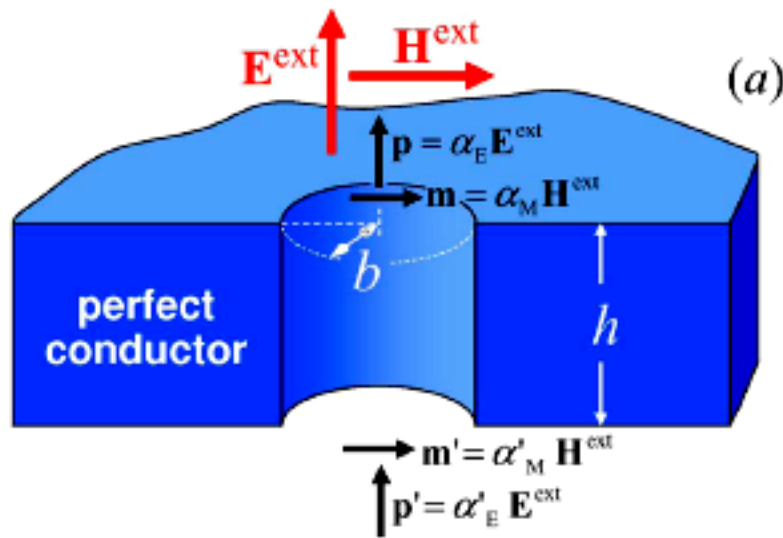


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We are here!

- **Physical mechanism of single hole**
- **Polarization issue of elliptical nanoholes**
- **Fabrication of nanohole array**
- **Applications of nanoholes**



$$p = \alpha_E(E_z^{\text{ext}} + G_{zz}p - Hm) + \alpha'_E(G_{zz}p' - Hm'),$$

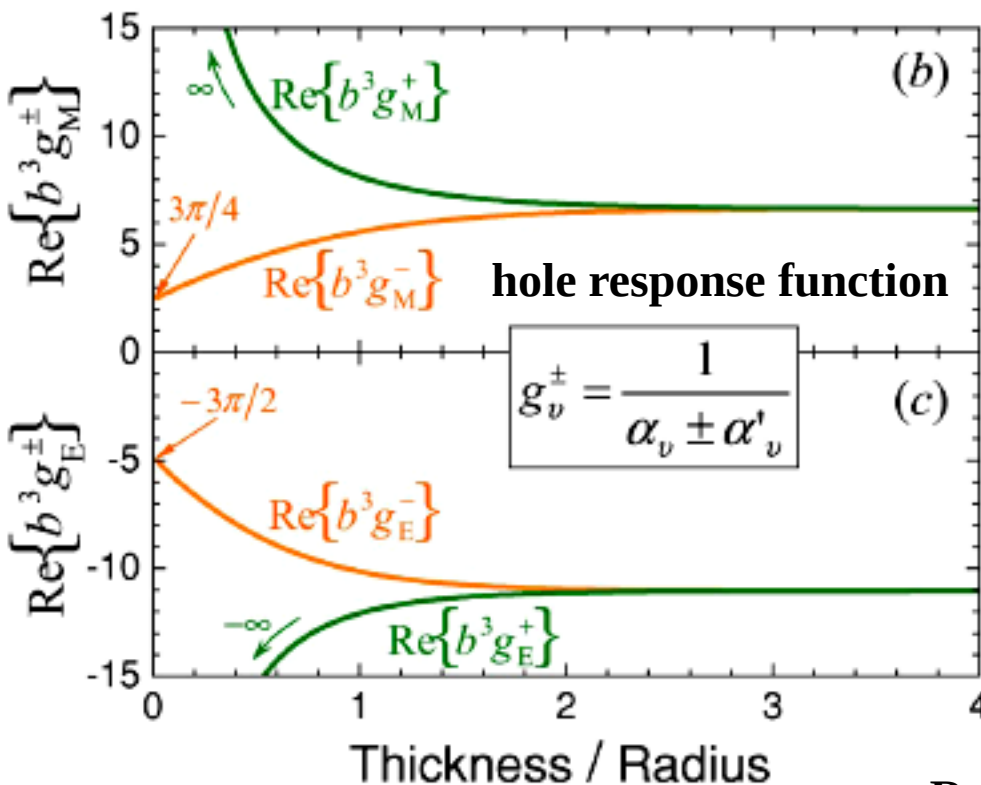
$$p' = \alpha'_E(E_z^{\text{ext}} + G_{zz}p - Hm) + \alpha_E(G_{zz}p' - Hm'),$$

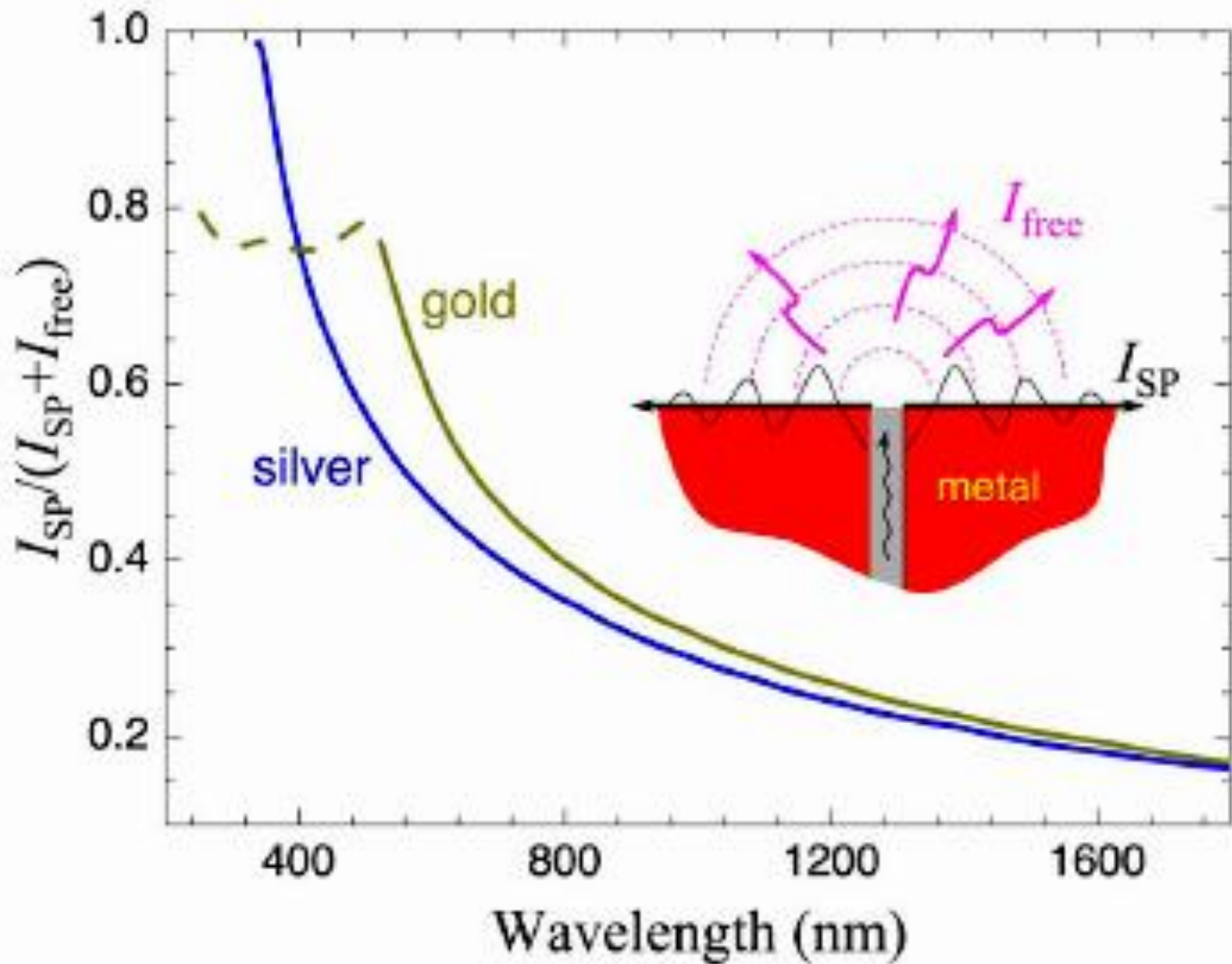
$$m = \alpha_M(H_y^{\text{ext}} + G_{yy}m - Hp) + \alpha'_M(G_{yy}m' - Hp'),$$

$$m' = \alpha'_M(H_y^{\text{ext}} + G_{yy}m - Hp) + \alpha_M(G_{yy}m' - Hp'),$$

with a new lattice sum defined as

$$H = -ik \sum_{n \neq 0} e^{-ik_1 x_n} \partial_{x_n} \frac{e^{ikR_n}}{R_n}.$$





Review of Modern Physics 79, 1267-1290 (2007).

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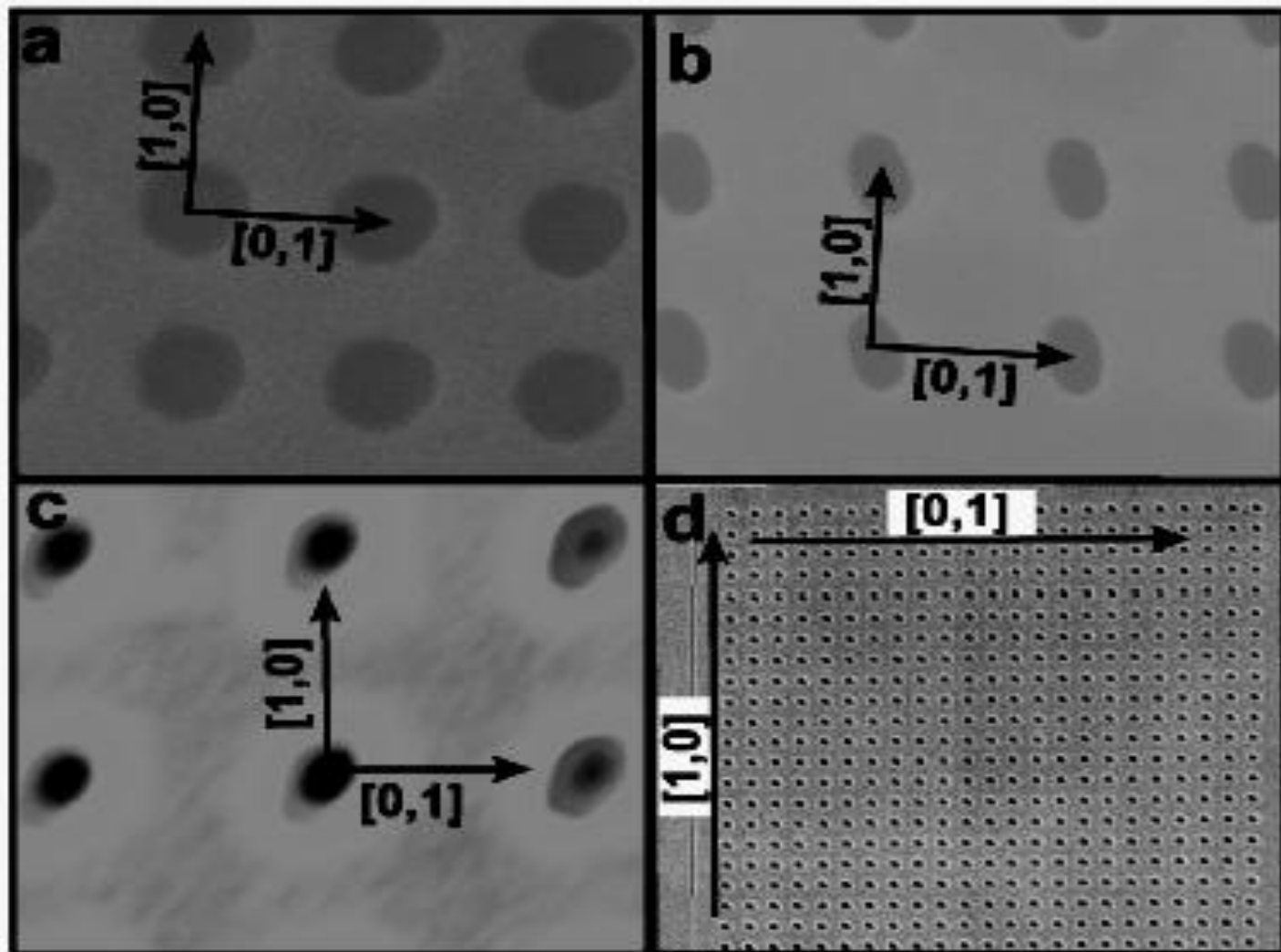


FIG. 1. Scanning electron micrographs of square nanohole arrays in gold. (a) Nearly circular holes. (b) Elliptical holes, 0.6 aspect ratio and major axis at -12° to the $[1,0]$. (c) Elliptical holes, 0.6 aspect ratio and major axis at 33° to the $[1,0]$ axis. (d) An expanded view of (c) showing the full $16.1\ \mu\text{m}$ wide array of 529 holes (holes spaced by 704 nm).

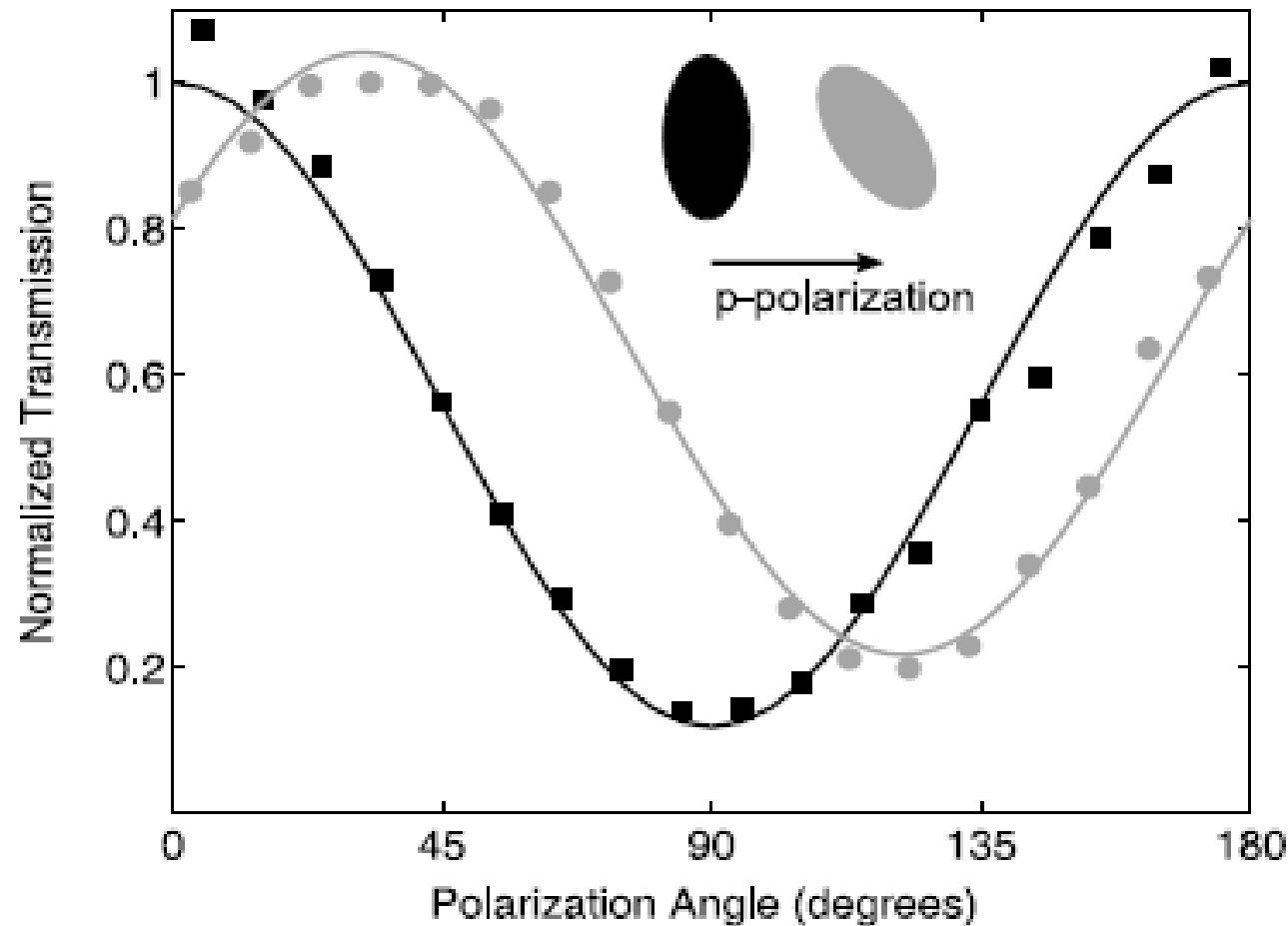


FIG. 3. Polarization dependence of transmission at the (0, 1) resonance peak, normalized to the maximum. Transmission shows cosine dependence when the major axis of the ellipse is oriented both at 0° and 33° to the $[1, 0]$ axis of the array. The p polarization, along the $[0, 1]$ direction of the lattice, corresponds to a polarization angle of zero degrees. The maximum transmission occurs for polarization perpendicular to the broad side of the ellipse.

Depolarization Ratio

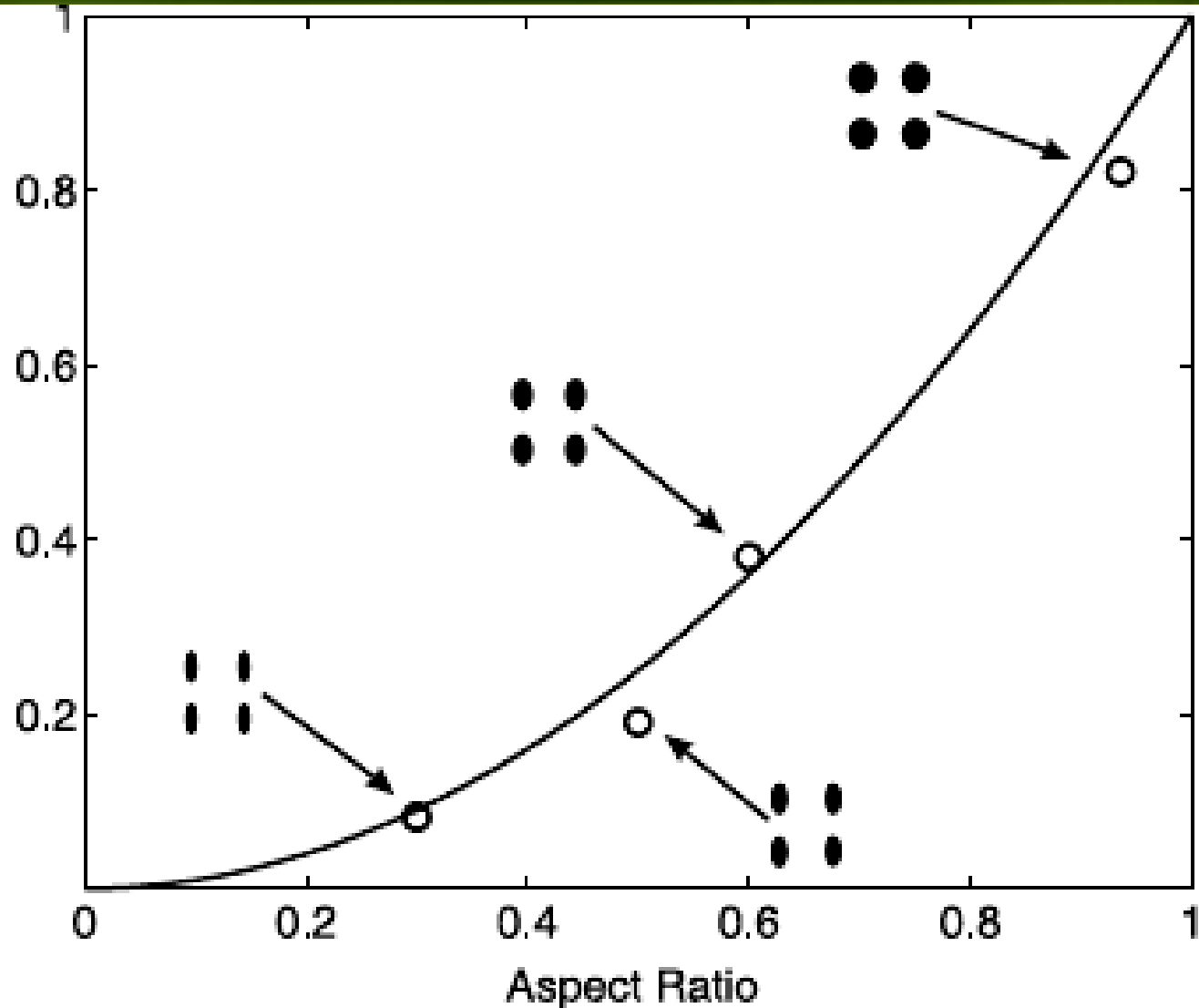


FIG. 4. The depolarization ratio of the transmitted light as a function of the aspect ratio of the holes. Solid line shows the $y = x^2$ curve.

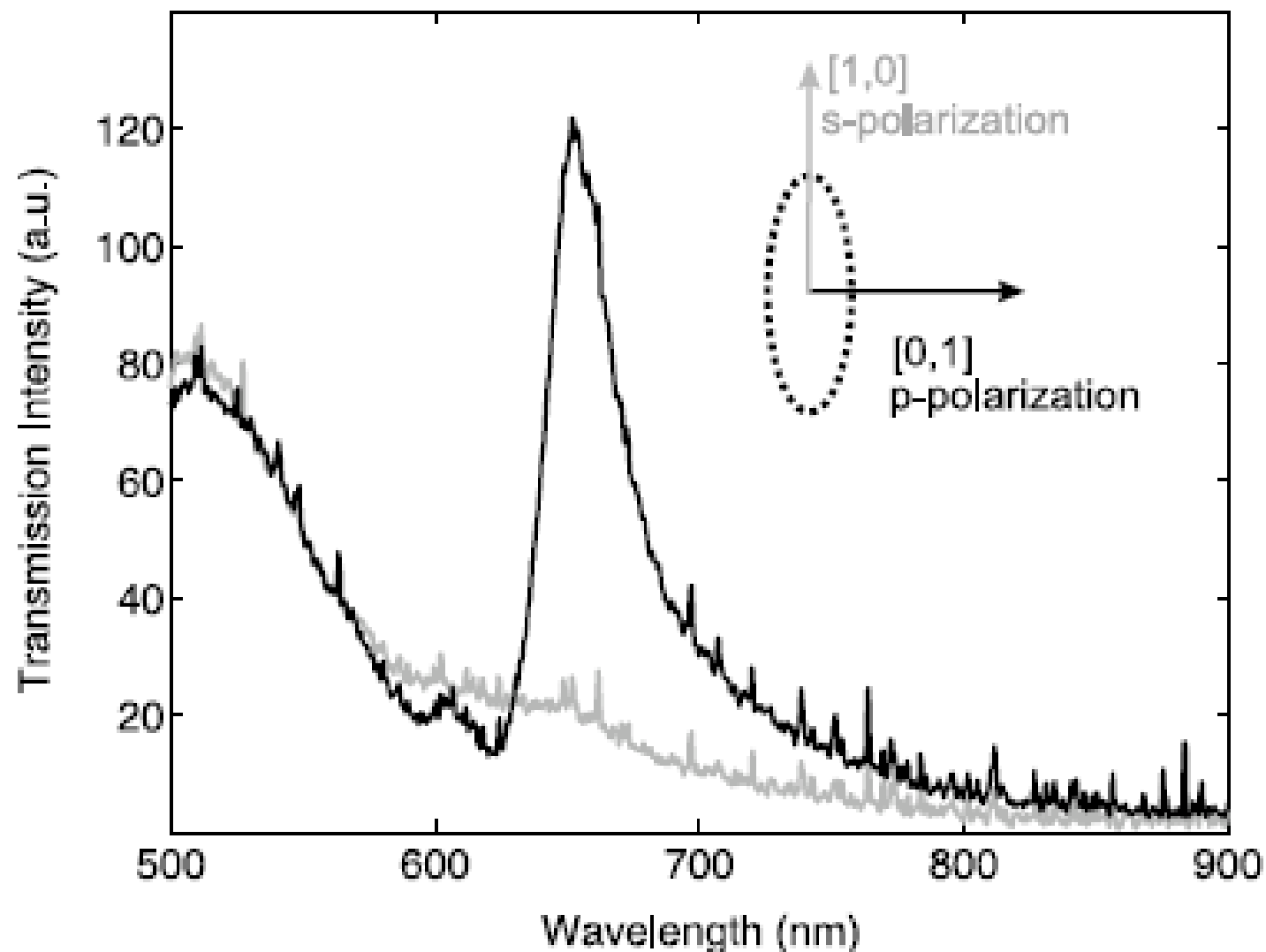


FIG. 2 Transmission spectrum through elliptical nanohole array for two orthogonal linear polarizations, with a 0.3 aspect ratio between the minor and major axes of the ellipse. The p polarization is parallel to the $[0, 1]$ direction.

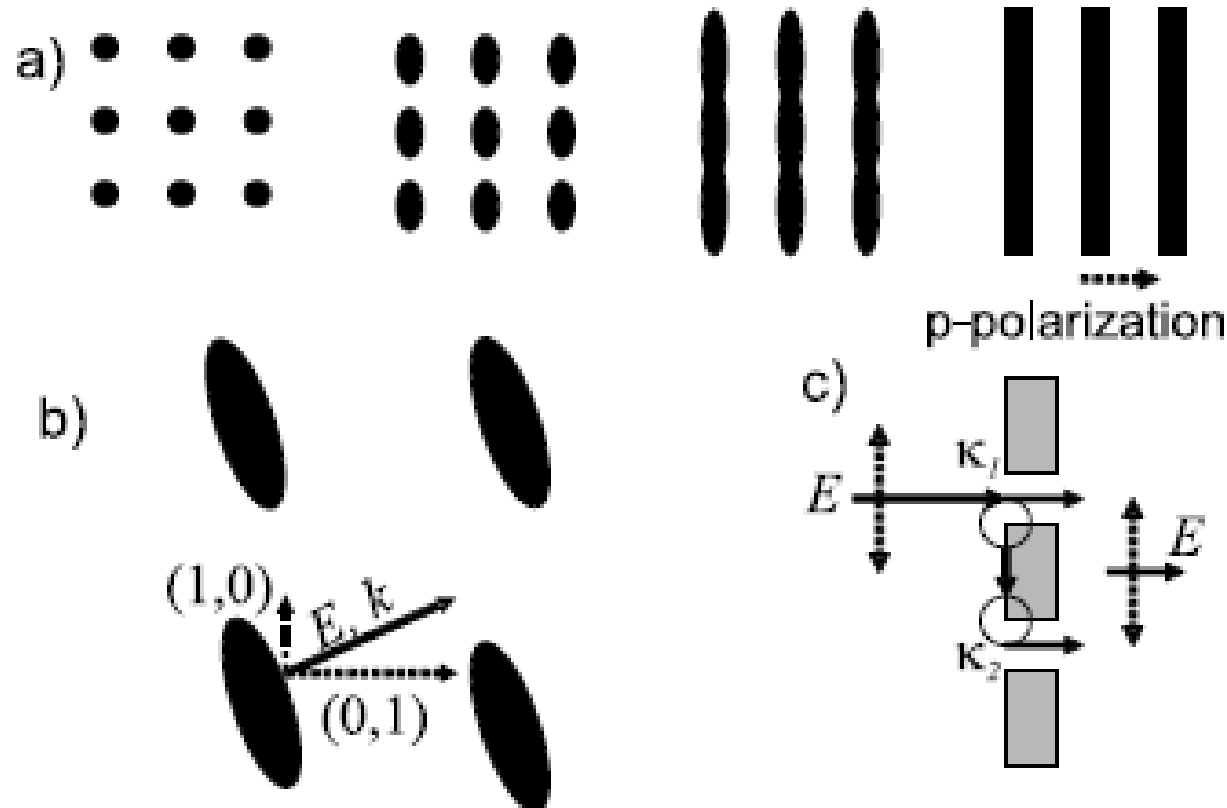
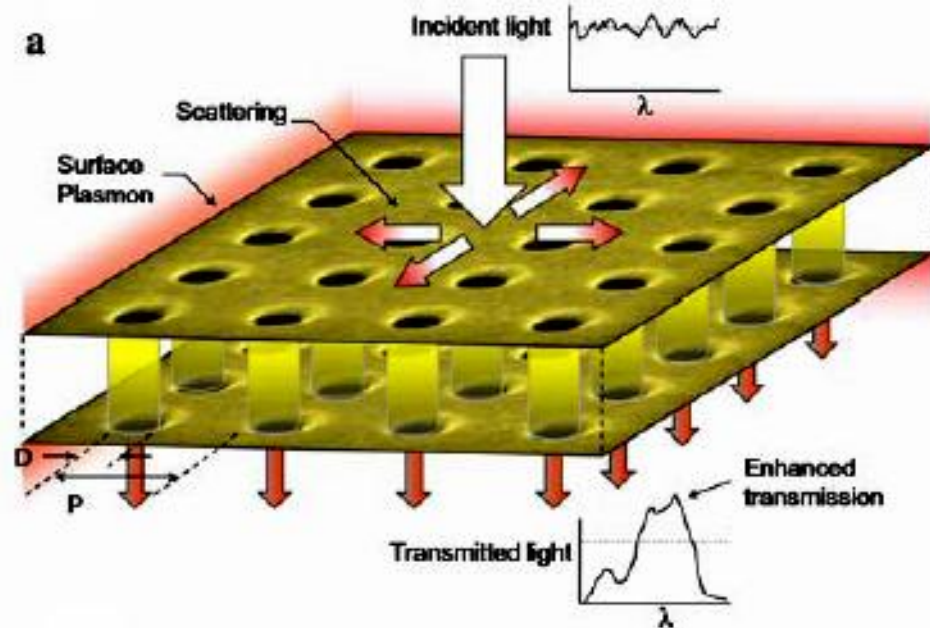
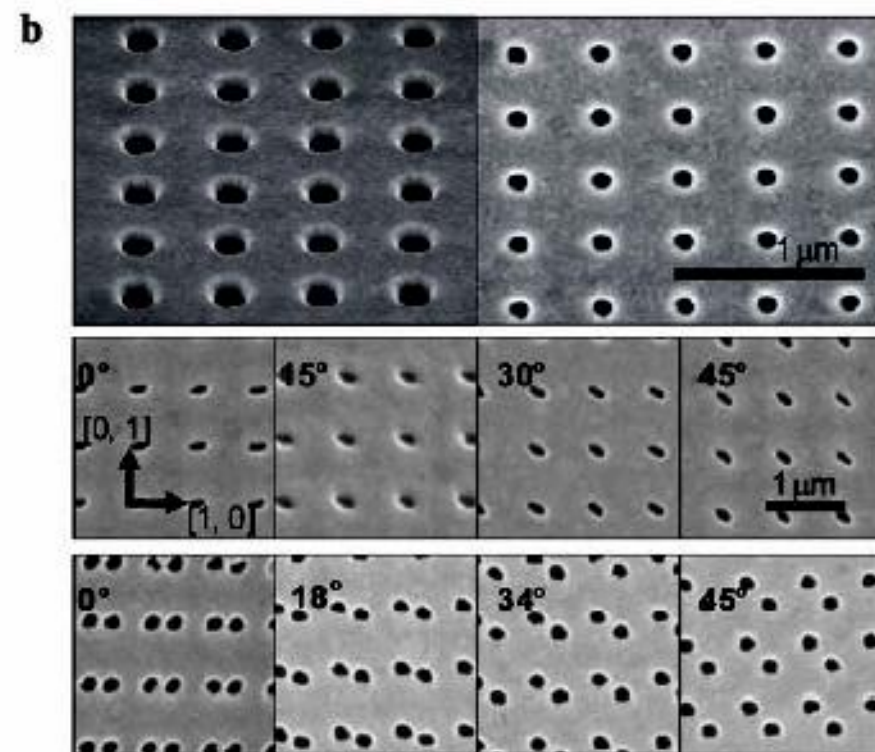


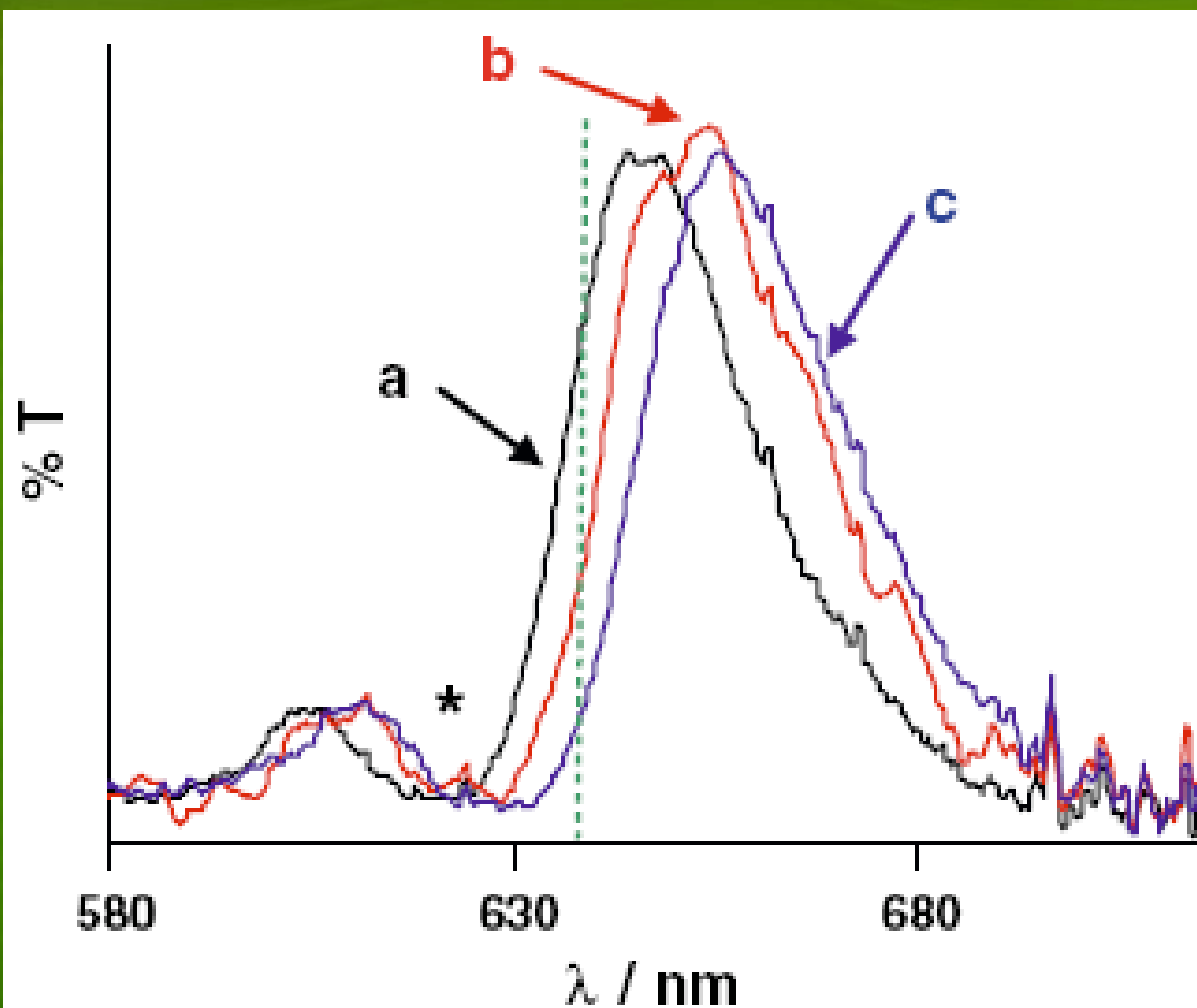
FIG. 5. (a) Elliptical holes approaching limiting case of slits, which only show enhanced transmission for p polarized light. (b) Enhanced SP excitation perpendicular to major axis of ellipse leads to preferential excitation of the $(0,1)$ resonance with respect to the $(1,0)$ resonance. (c) Coupling both into and out of the SP mode is required to obtain enhanced transmission from periodic array of holes, which results in a squared dependence of the enhanced transmission on the coupling strength.



Equal period in X- and Y-axis



$$\lambda^{\text{SP}}(i, j) = p(i^2 + j^2)^{-1/2} \left(\frac{\epsilon_d \epsilon_m}{\epsilon_d + \epsilon_m} \right)^{1/2}$$

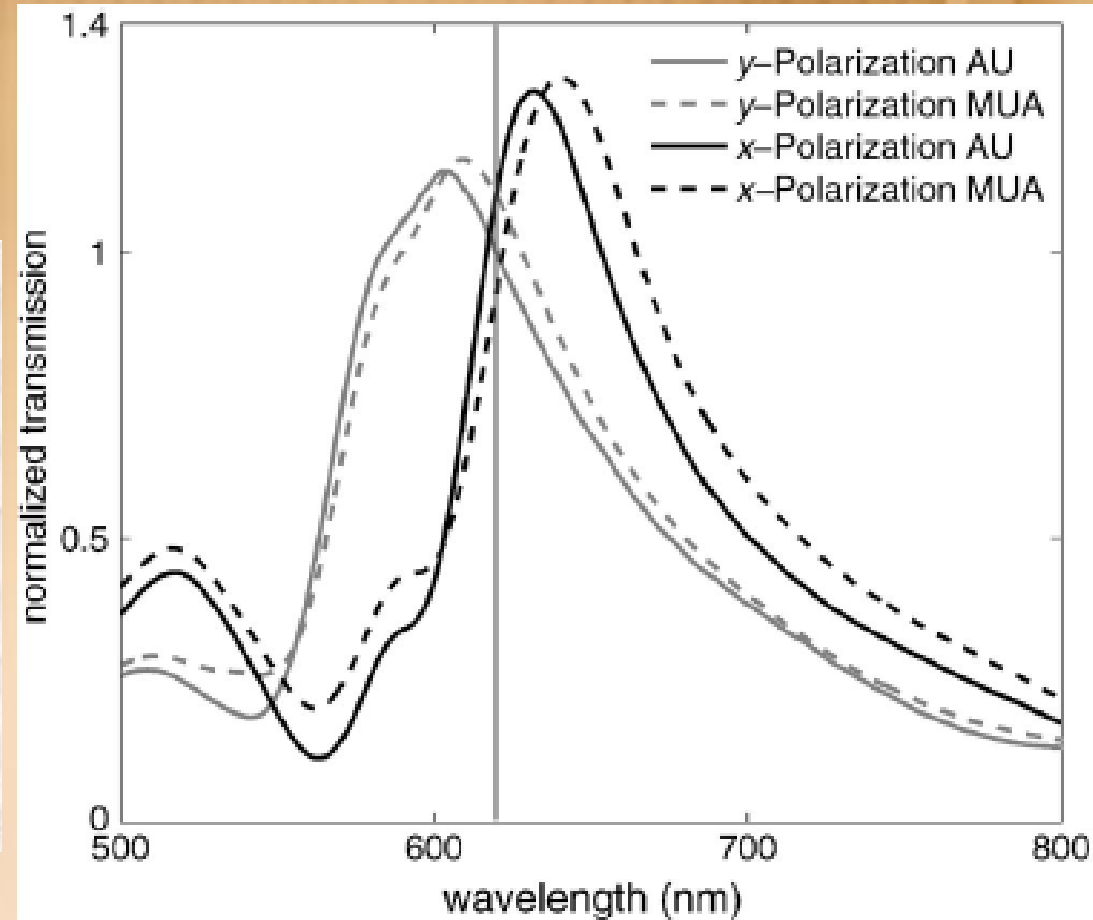
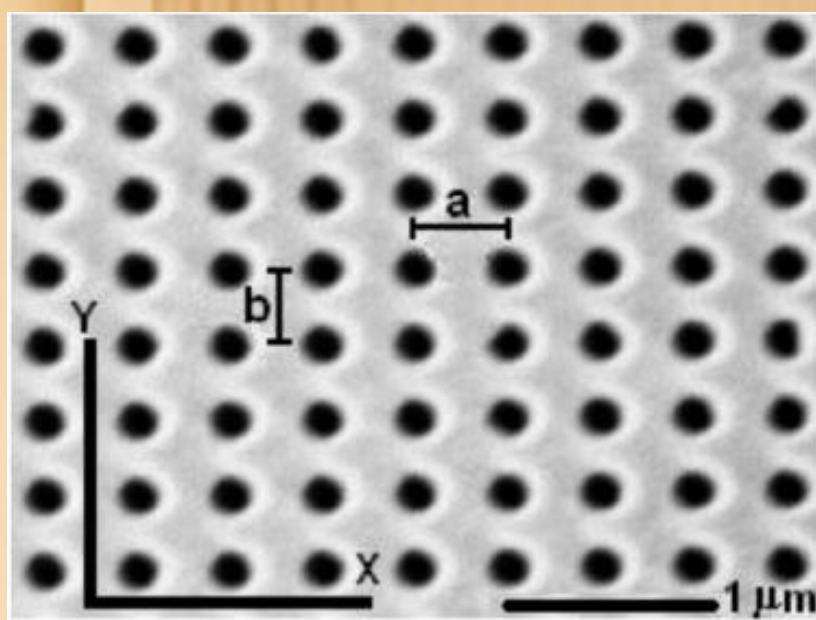


... a bare gold surface; b gold with monolayer of MUA; and c gold-MUA layer with BSA.

Polarization dependent of biaxial nanoholes

Unequal periods: a, b

$a > b$



$$\lambda_{\max}(i, j) = \frac{ab}{\sqrt{i^2 b^2 + j^2 a^2}} \sqrt{\frac{\epsilon_d \epsilon_m}{\epsilon_d + \epsilon_m}}$$

Strong Influence of Hole Shape on Extraordinary Transmission

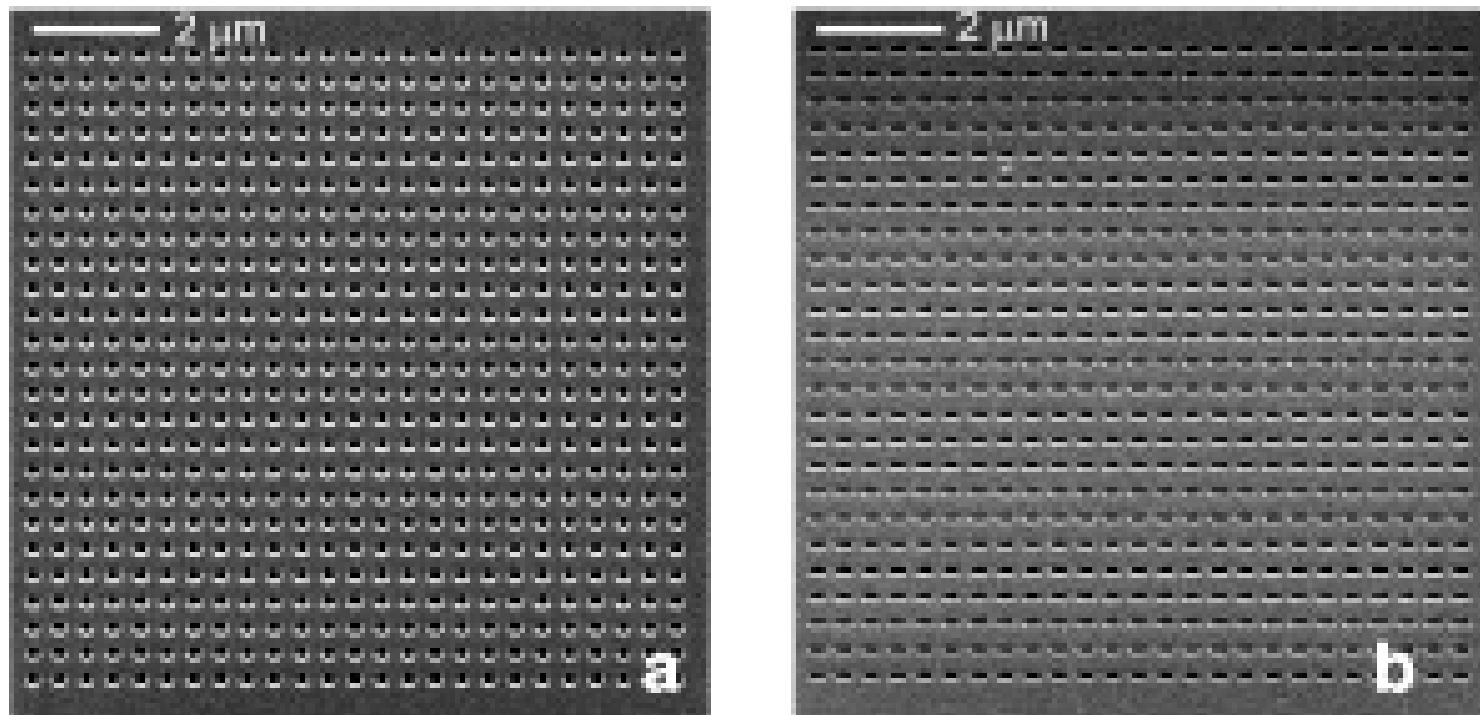
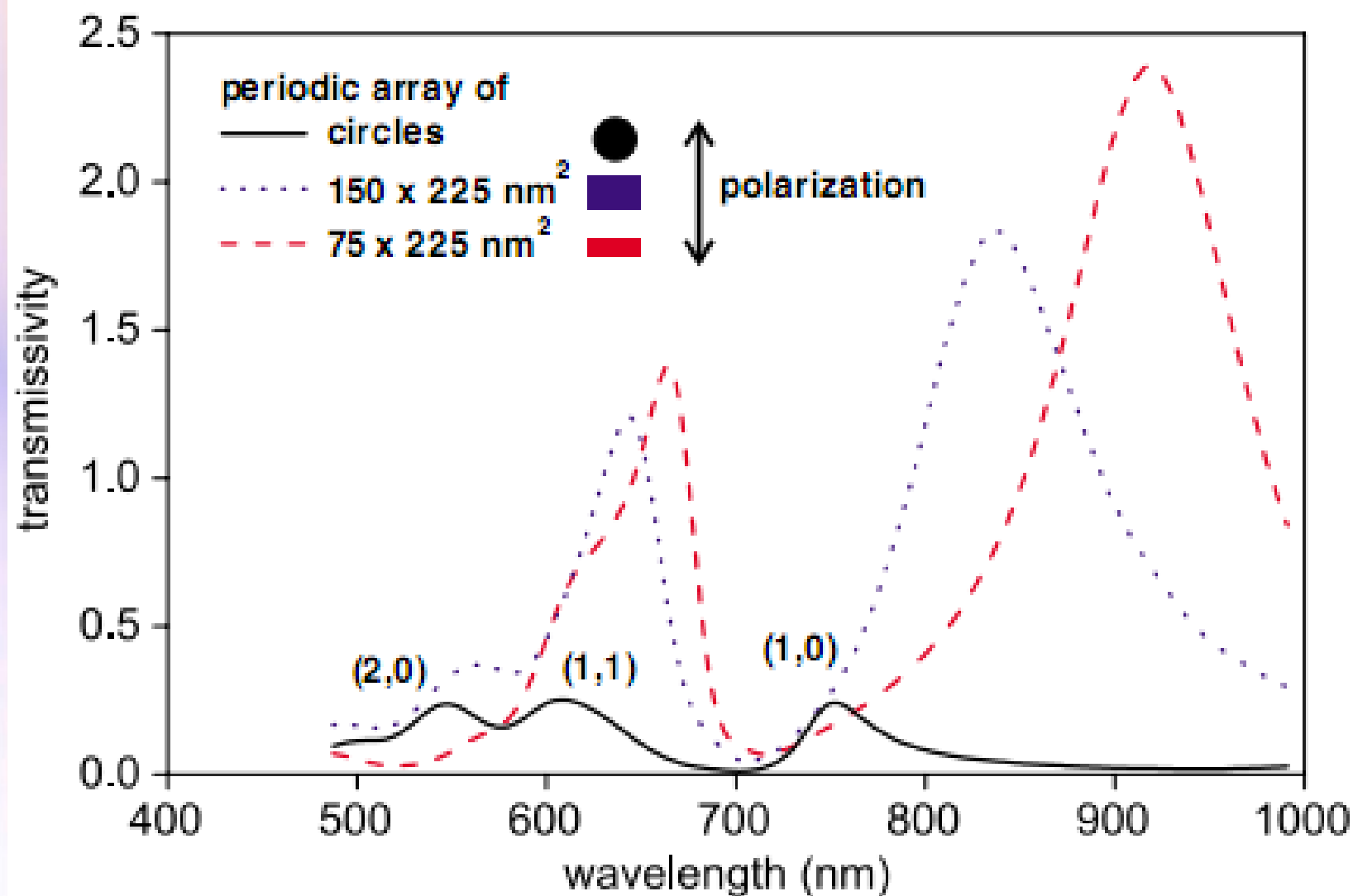
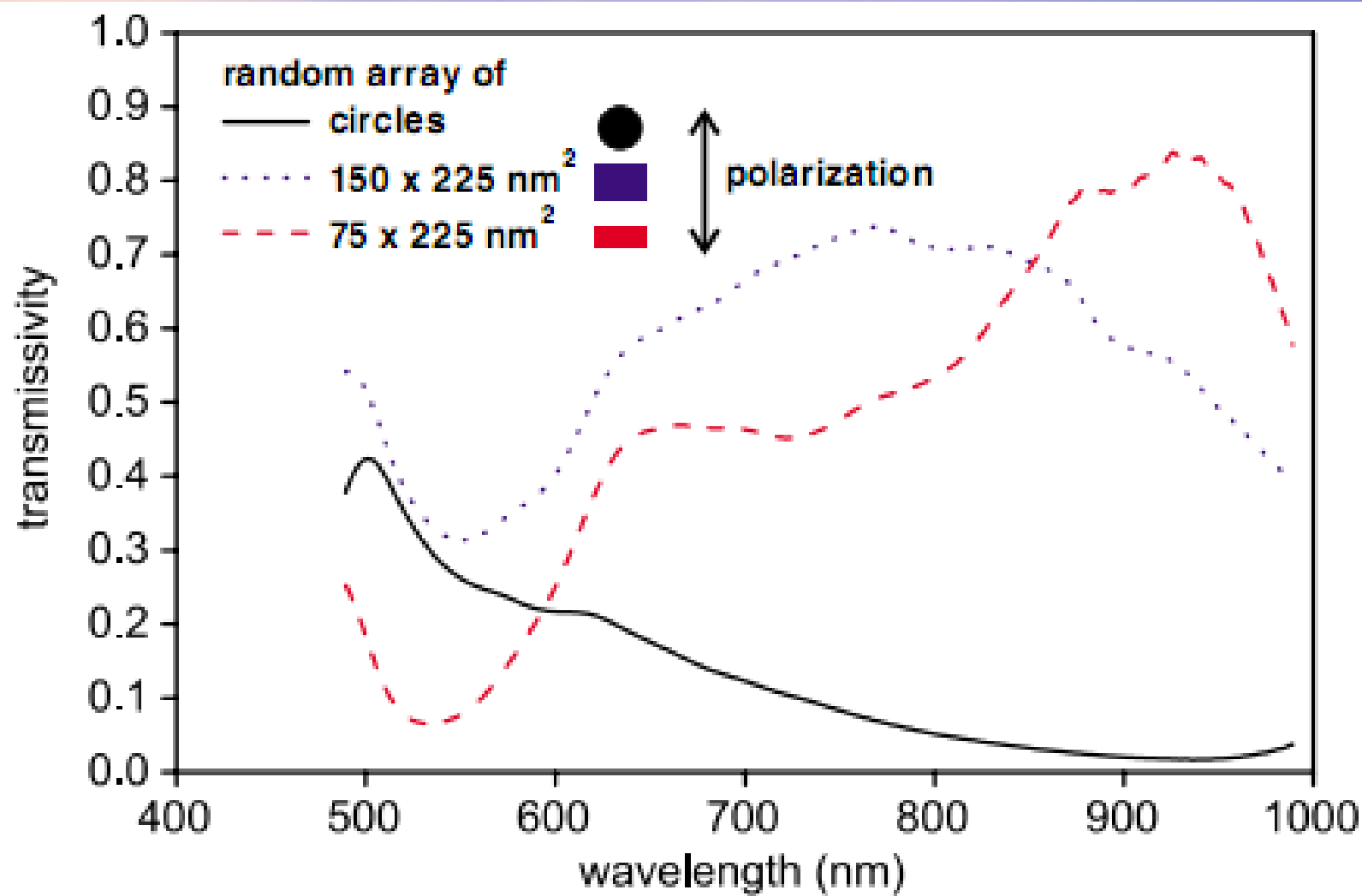


FIG. 1. Focused ion beam images of two periodic subwavelength hole arrays fabricated in a 200 nm thick gold film. Both arrays have a period of 425 nm. (a) An array consisting of circular holes with a diameter of 190 nm. (b) An array consisting of rectangular holes of $75 \times 225 \text{ nm}^2$.



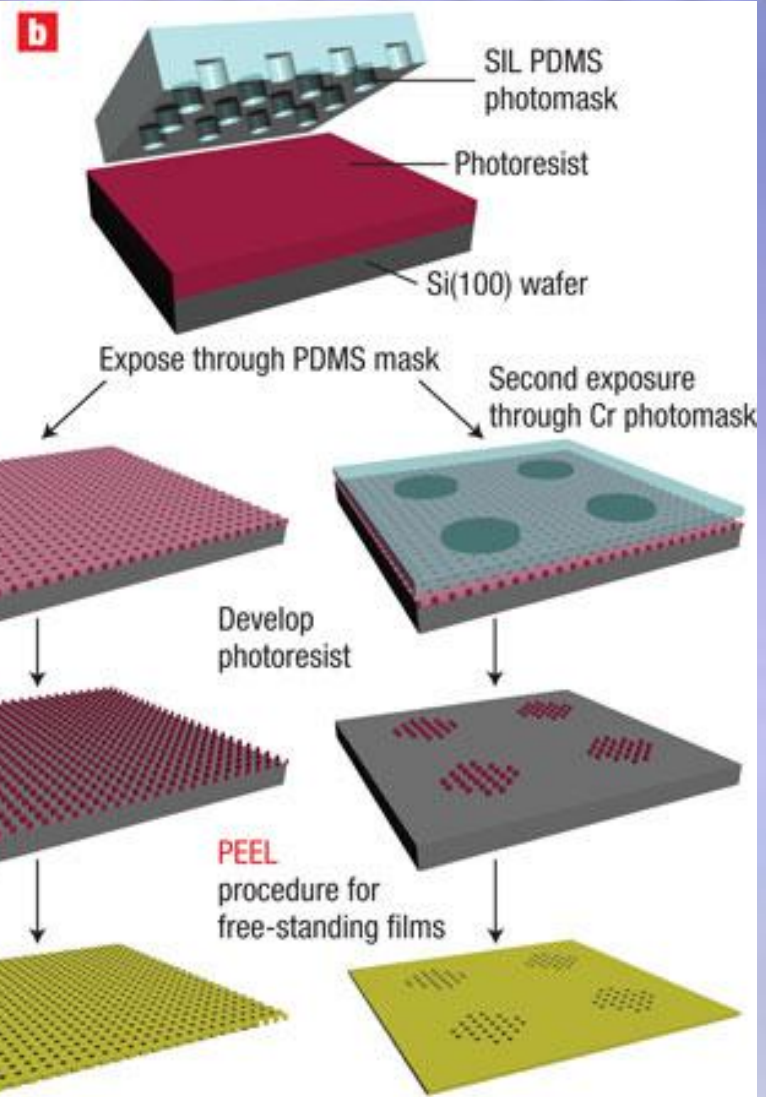
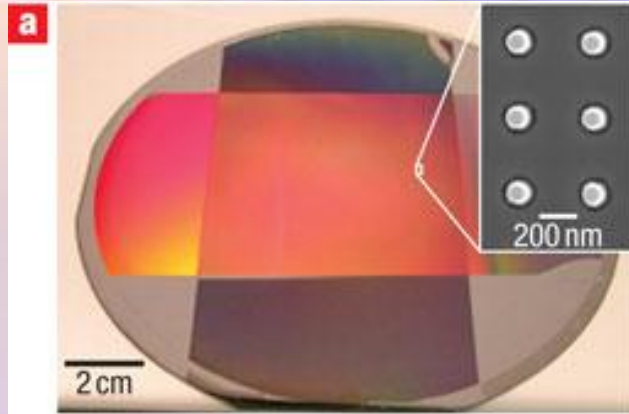


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Nano-imprinting/Soft lithography

Fabrication of Nanoholes array

(a) Photo Litho.
& Dry Etching



KOH Etching



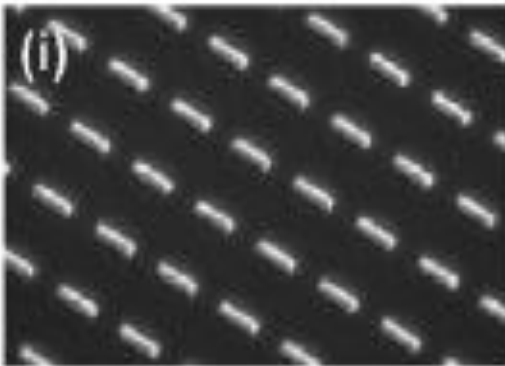
E-beam Litho.
& Dry Etching



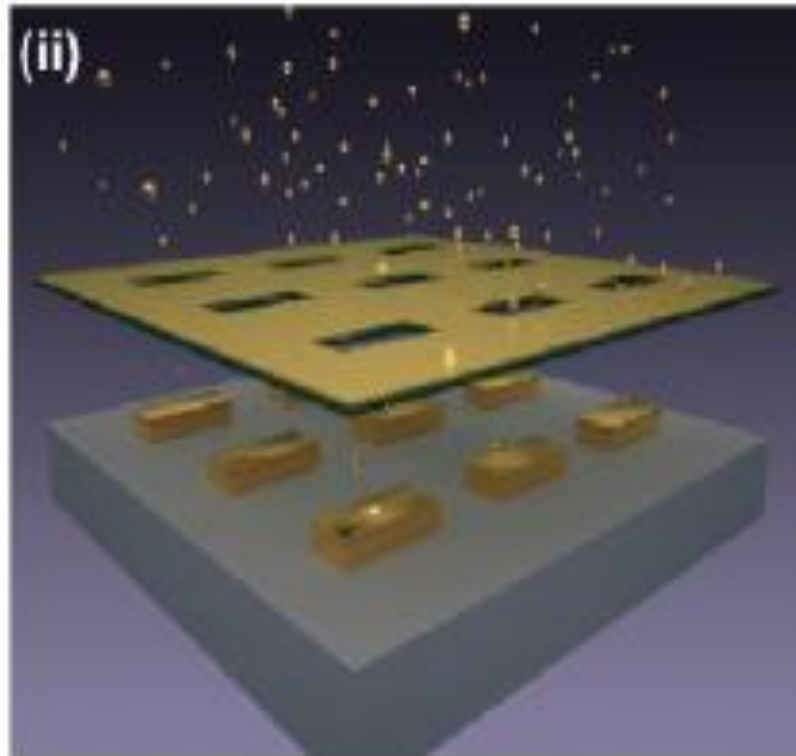
Nanostencil



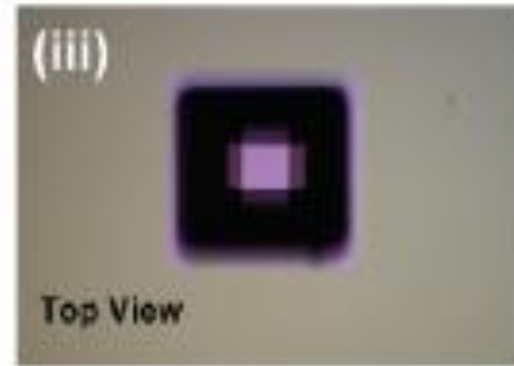
(b) Antennas



Gold Deposition



Nanostencil



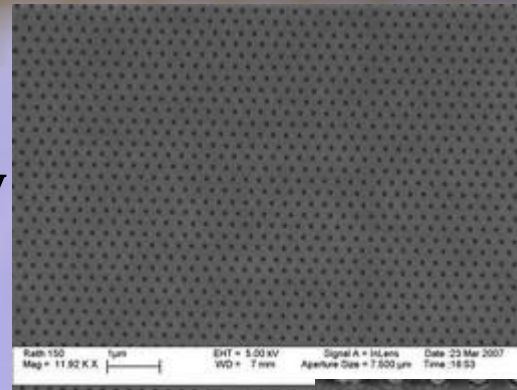
Top View



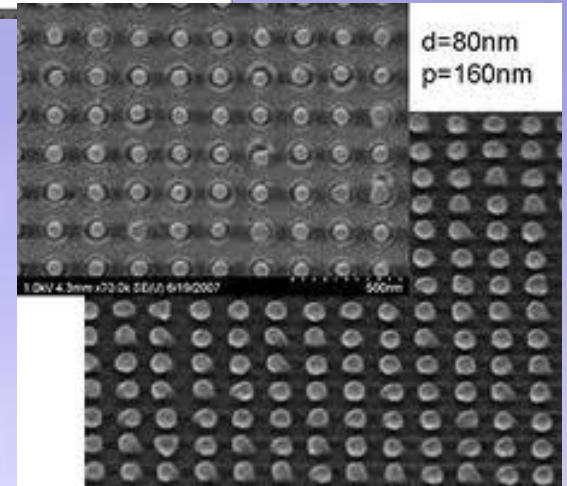
Cross-section

Three optional methods

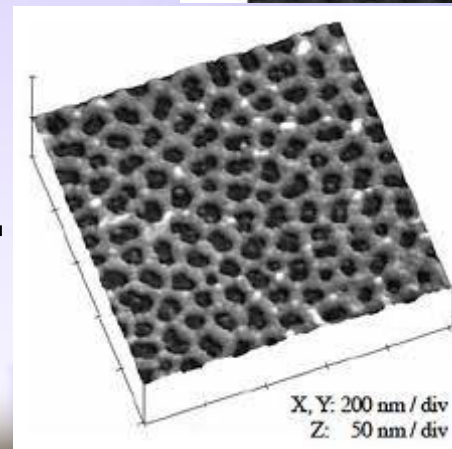
**E-beam lithography
/focused ion beam**



Laser interference lithography



Self-assembly monolayer



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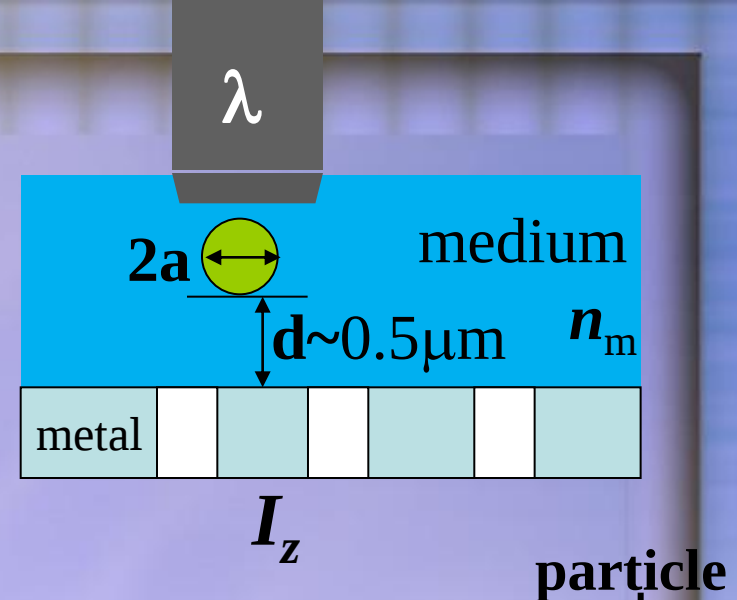
We are here!

Optical trapping with nanoholes

Manipulation target:

- cells, virus, DNA
- non-biological particles in fluidic

Critical trapping distance from the surface, d



particle

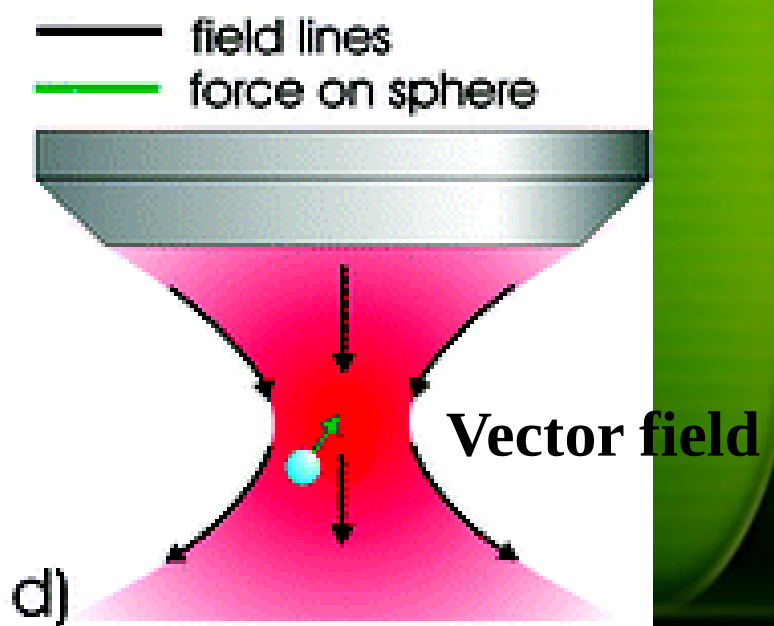
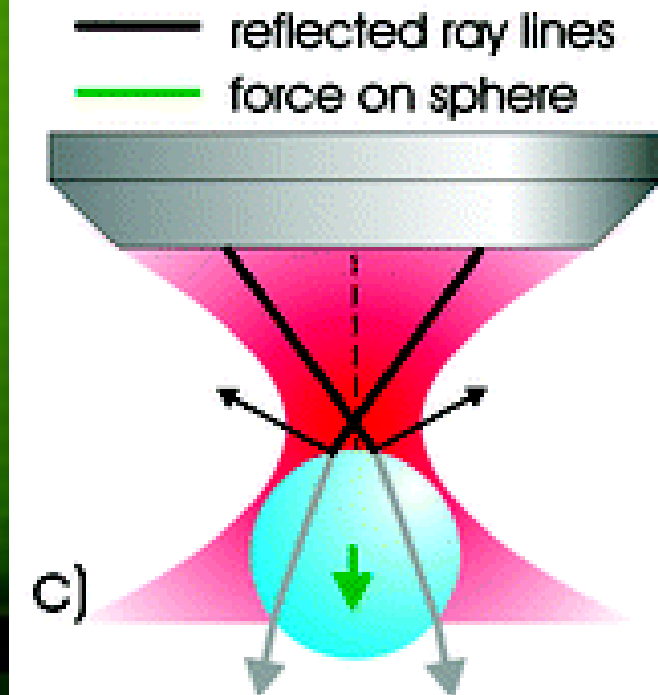
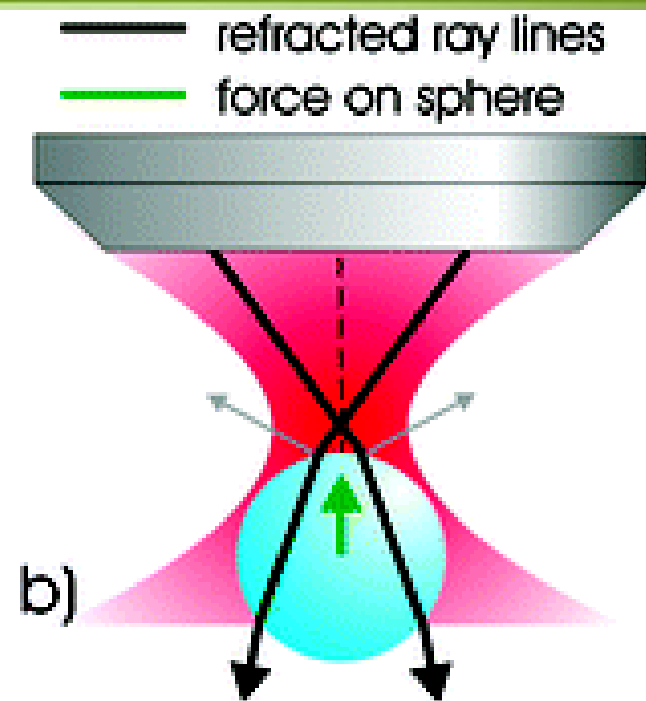
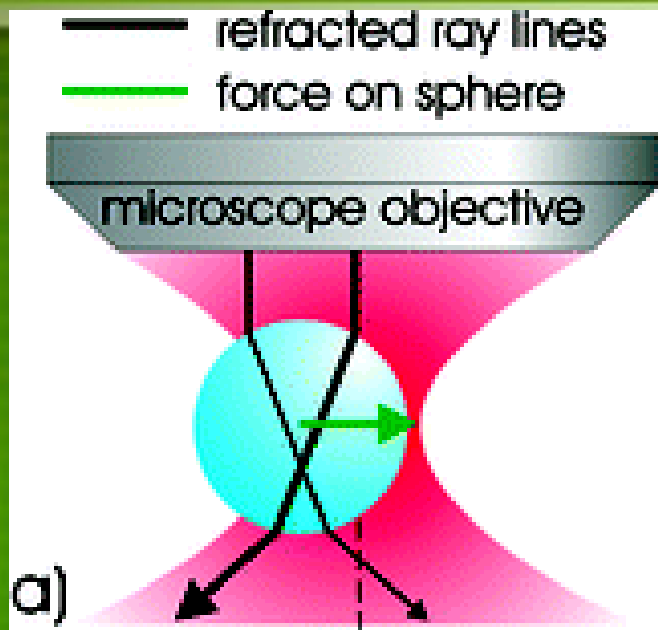
$$m = \frac{n_p}{n_m}$$

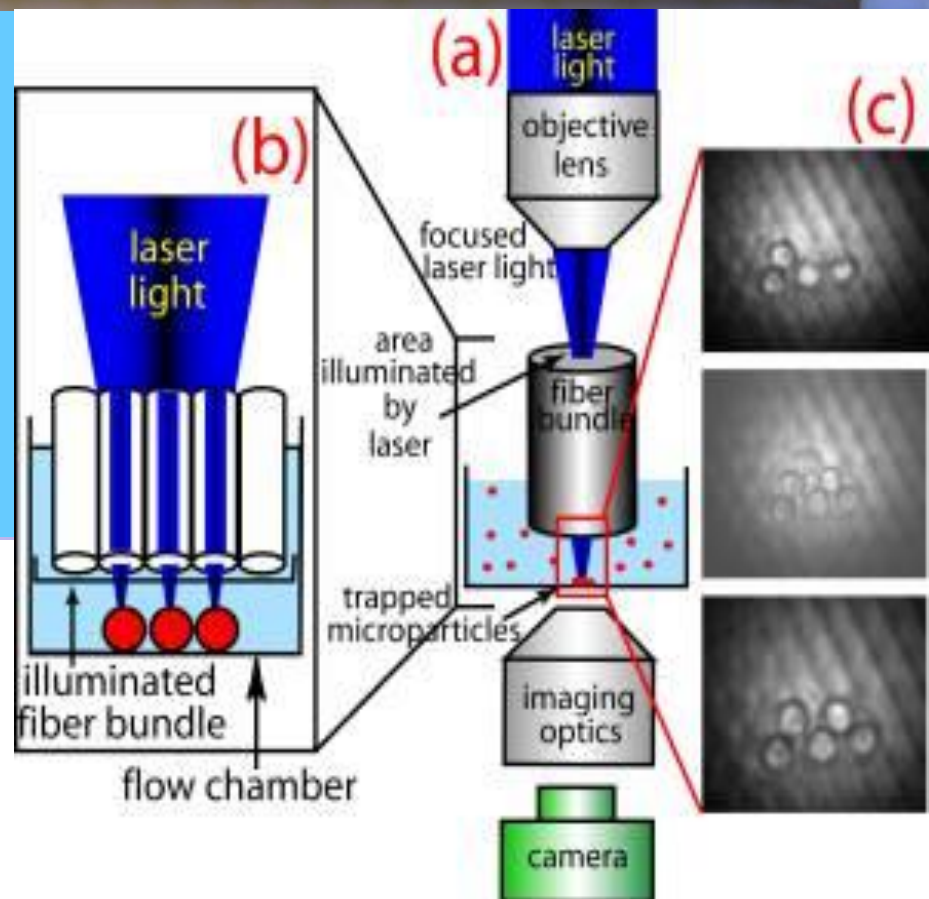
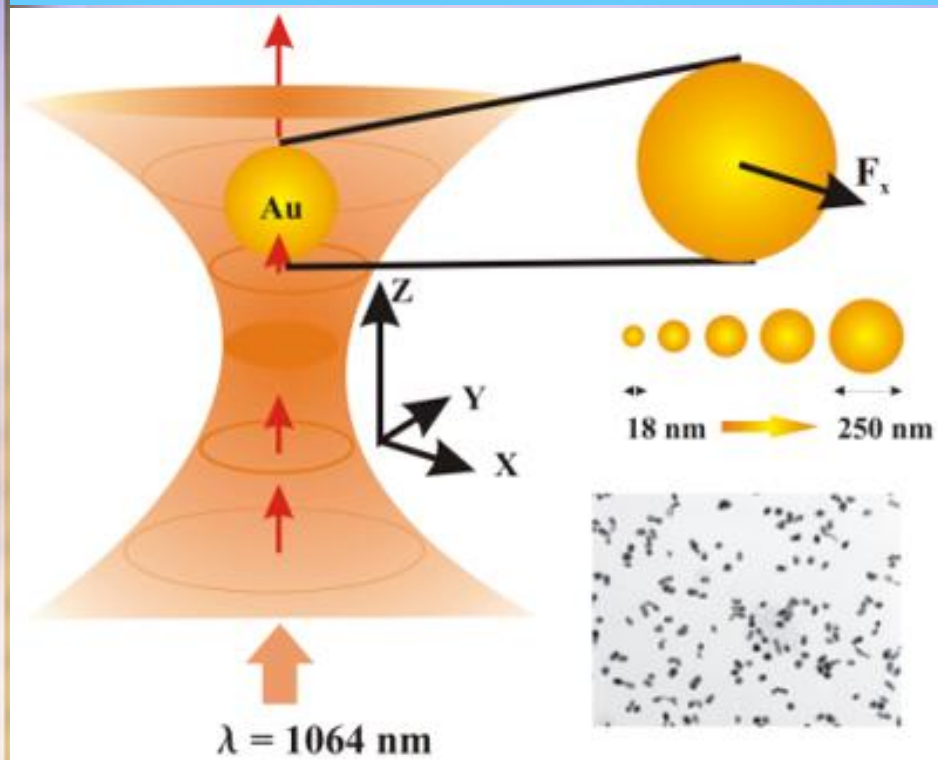
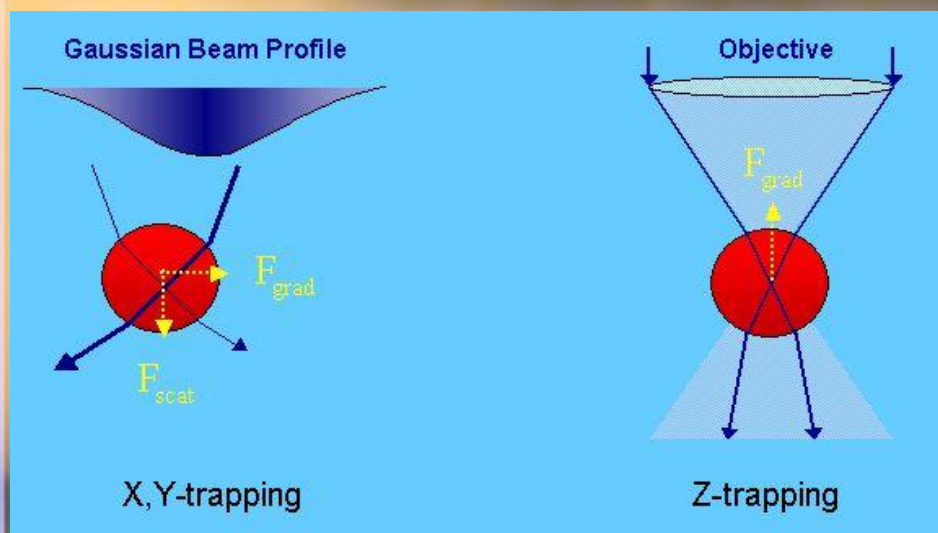
$$d = \frac{\lambda}{4\pi} \sqrt{\frac{n_m^2 + \epsilon_m}{-n_m^4}} \ln \left(\frac{3\lambda^3}{16\pi^3 a^3} \frac{n_m}{\sqrt{-(n_m^2 + \epsilon_m)}} \frac{m^2 + 2I_x(0)}{I_z} \right)$$

Labels in the equation:

- ϵ_m : metal
- n_m : medium
- I_{SPP} : surface plasmon polariton intensity
- I_z : incident intensity
- I_{hole} : intensity through the hole

where λ is the wavelength of the light source, n_m is the refractive index of the medium in which the particles are suspended, ϵ_m is the real part of the dielectric constant of the metal, a is the particle radius, $m = \frac{n_p}{n_m}$ is the refractive index contrast, with n_p being the refractive index of the particle, $I_x(0)$ is the surface plasmon intensity at the surface of the metal, and I_z is the intensity of the transmitted light through the nanoholes. If the surface plasmon intensity is slightly higher than the transmitted light intensity, a trapping distance of $0.5 \mu\text{m}$ may be obtained

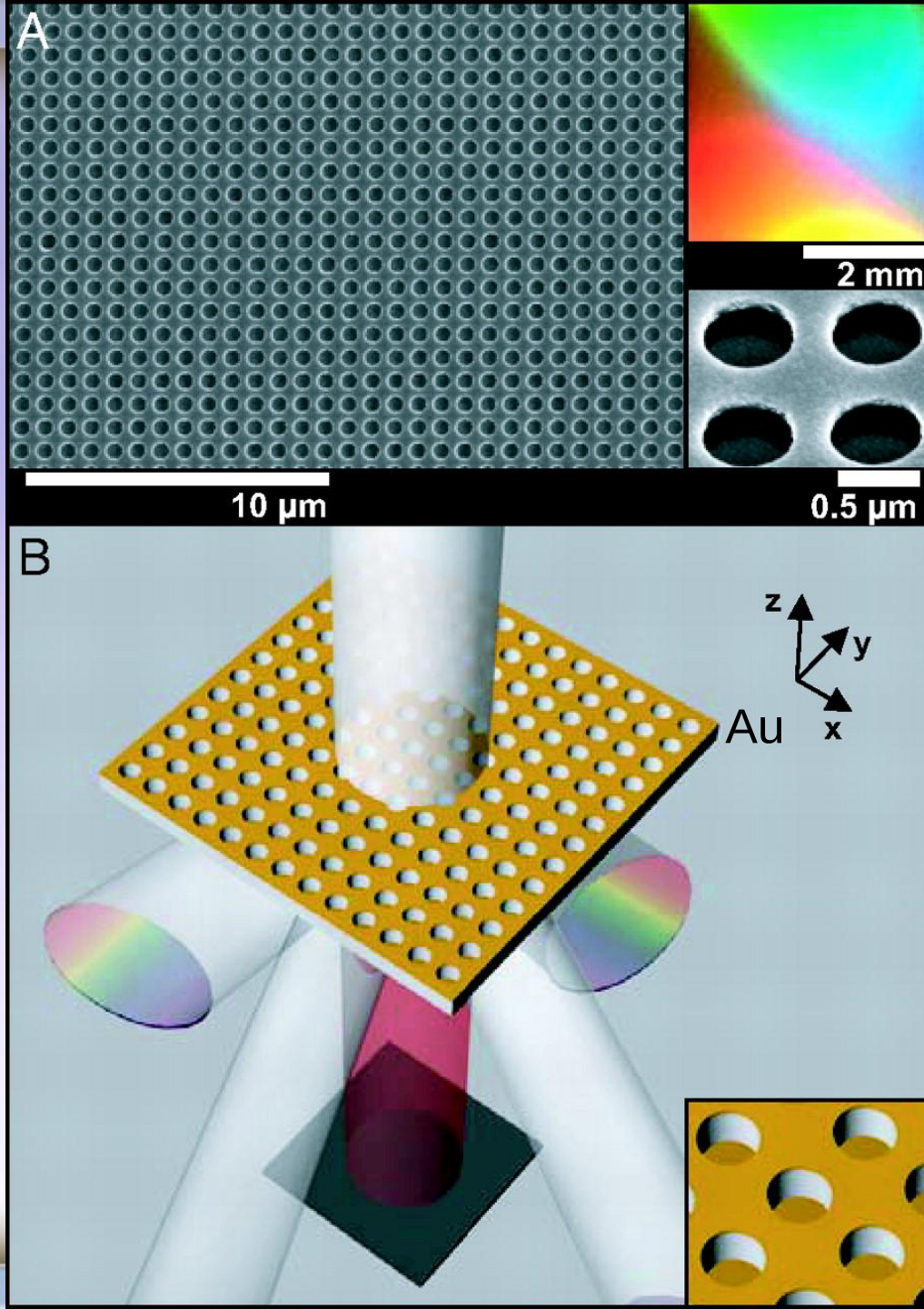


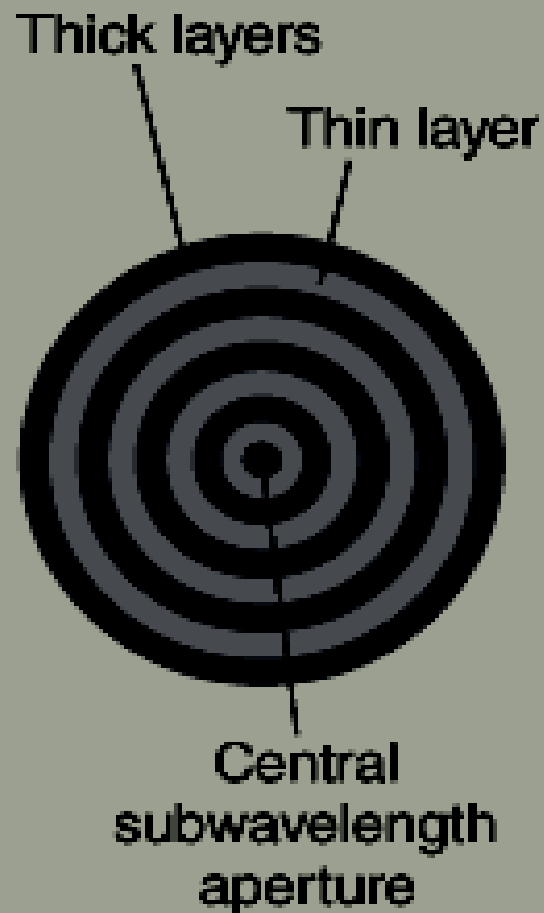


Optical trapping of gold nanoparticles

Practical plasmonic crystal biosensors

Images and schematic illustrations of a quasi-3D plasmonic crystal. (A) SEM of a crystal. (Upper Inset) A low-resolution optical image illustrating the diffraction colors produced by these structures. (Lower Inset) A high-magnification SEM that shows the upper and lower levels of gold. (B) Schematic illustration of the normal incidence transmission mode geometry used to probe these devices. The intensity of the undiffracted, transmitted light is monitored across the UV, visible, and near-infrared regions of the spectrum. (Inset) A close-up schematic illustration of the crystal.

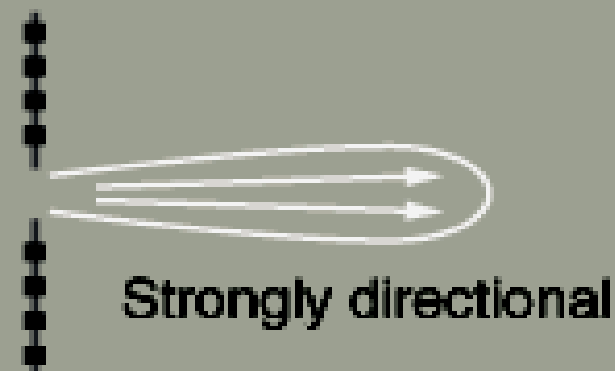


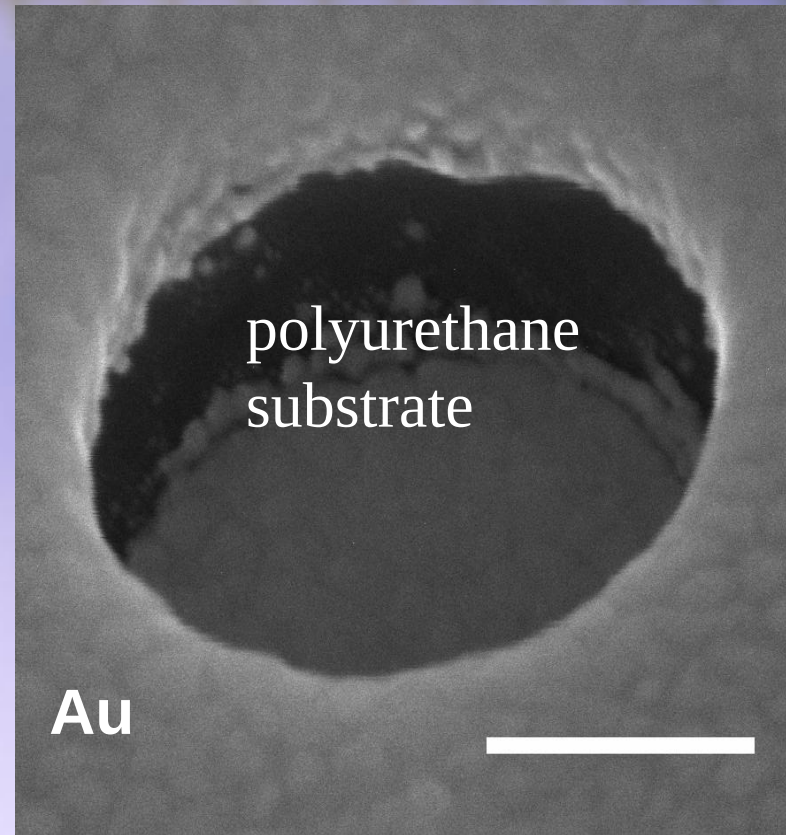
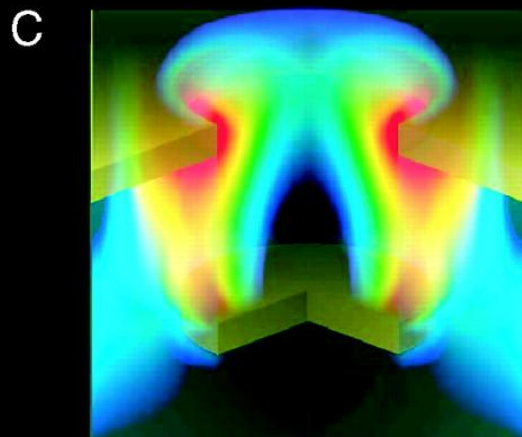
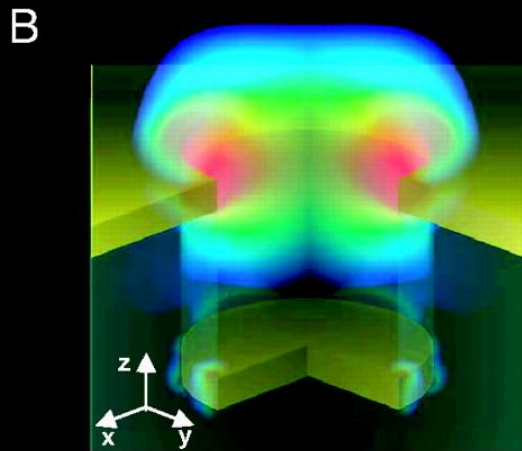
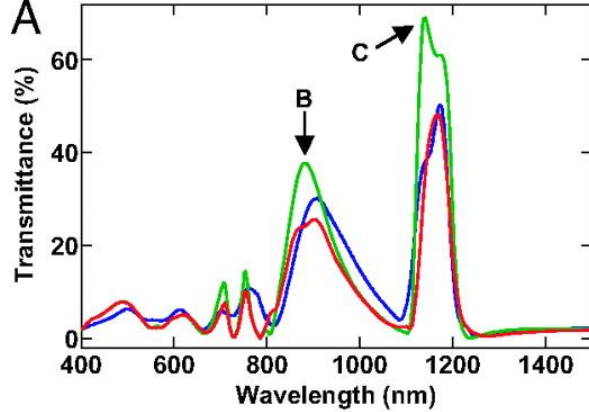


Emission without surrounding rings

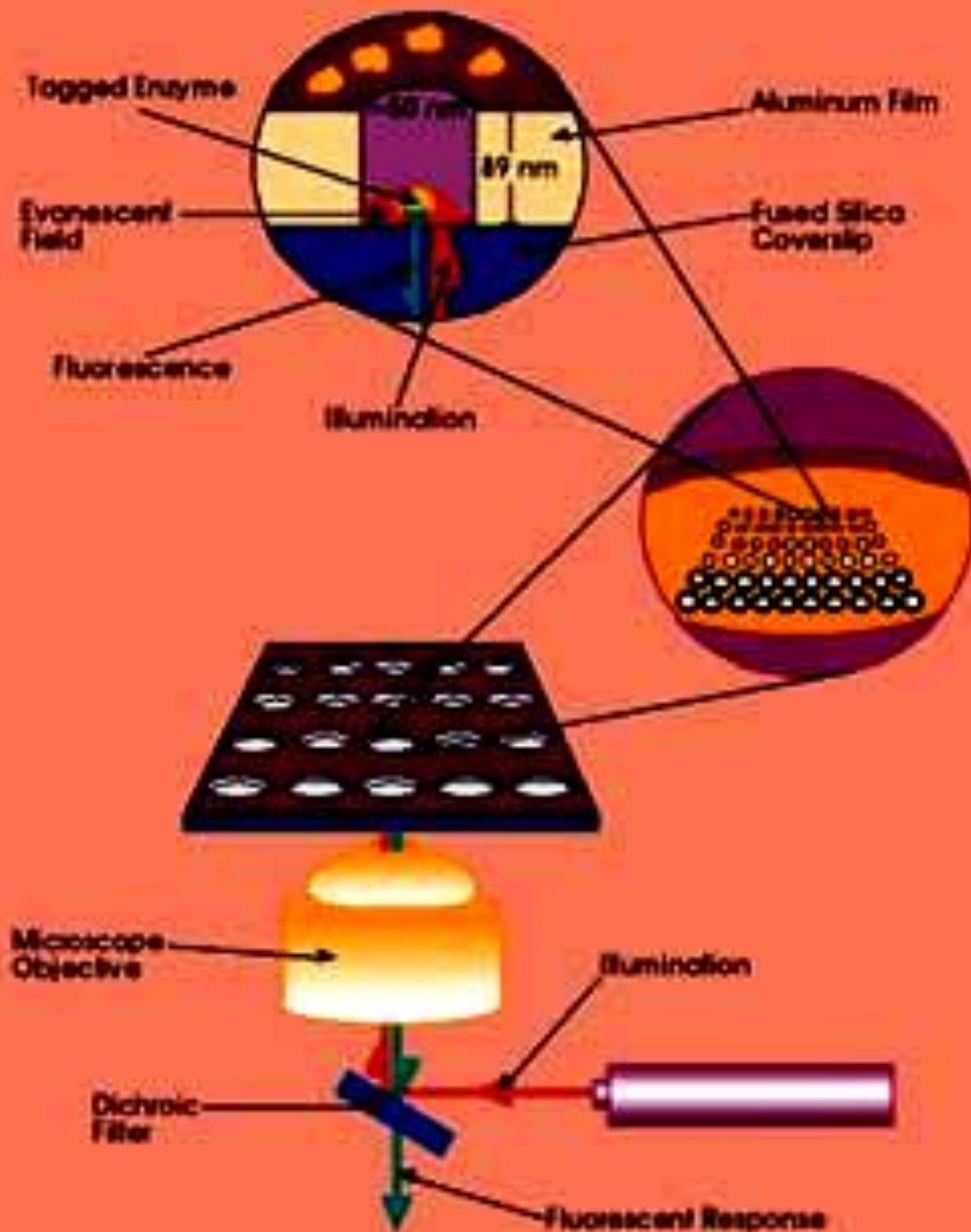


Emission with surrounding rings





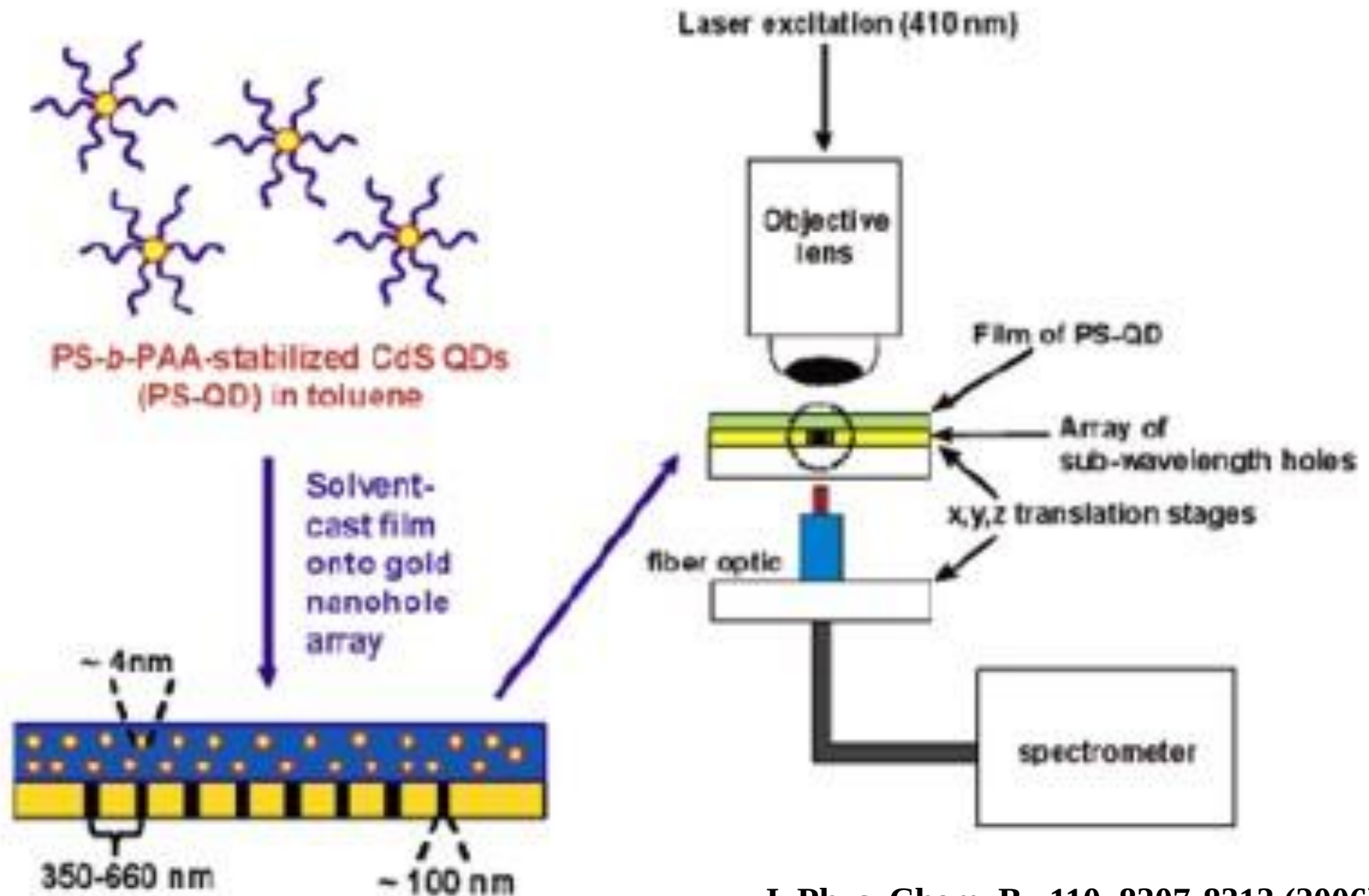
The SPR effects were produced on the nanoscale holes in the Au film and on the separate Au disks at the bottoms of the wells.



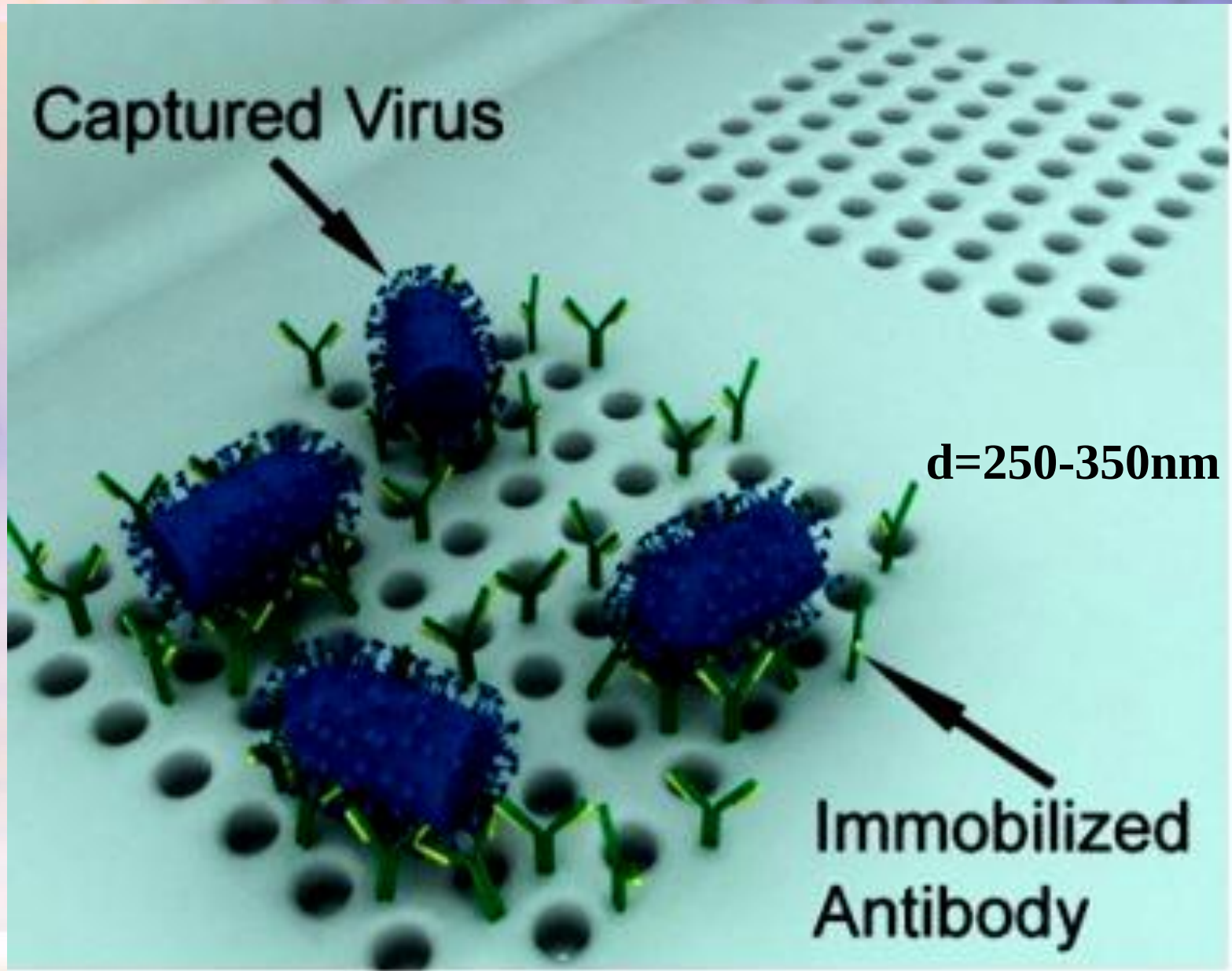
Nanoholes Improve Single-Molecule Analysis

Subwavelength holes in an Al film enable researchers to **observe the dynamics of single molecules** at more realistic concentrations. **The holes act as zero-mode waveguides**, permitting the excitation light for fluorescence correlation spectroscopy to enter into the sample chamber as an evanescent field so that **only one molecule near the opening may be stimulated**. The arrays of holes are chemically isolated on the chip, allowing the user to perform multiple experiments simultaneously.

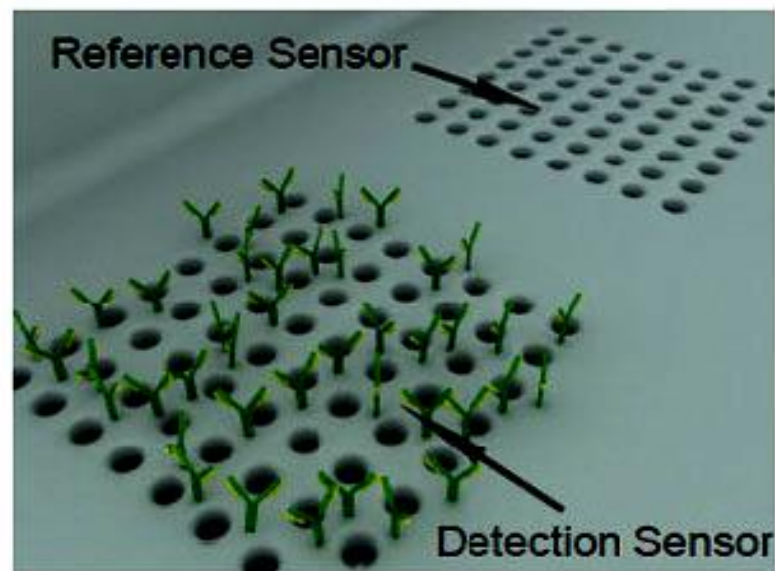
Surface Plasmon–Quantum Dot Coupling from Arrays of Nanoholes



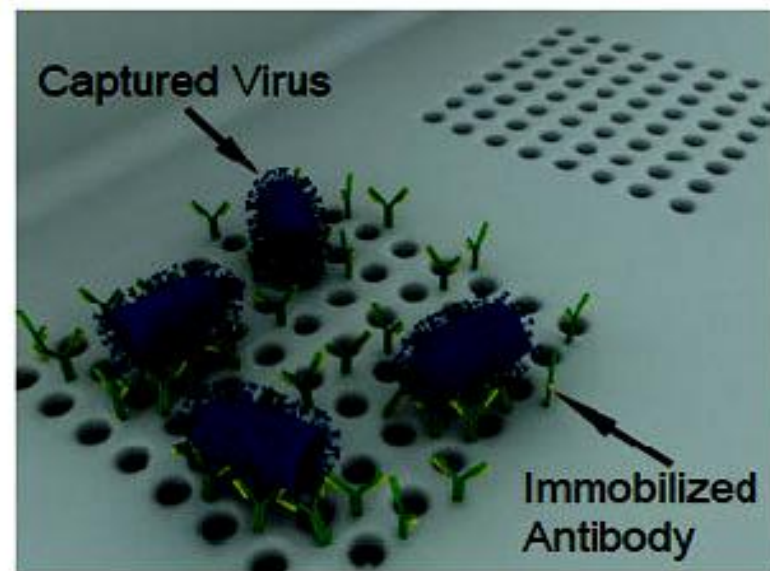
Plasmonic Biosensors detects viruses



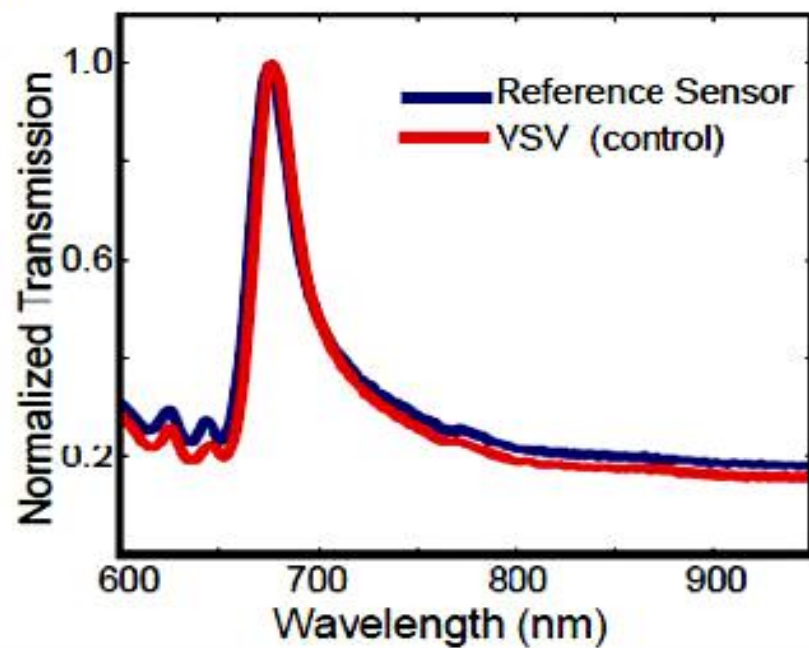
(a)



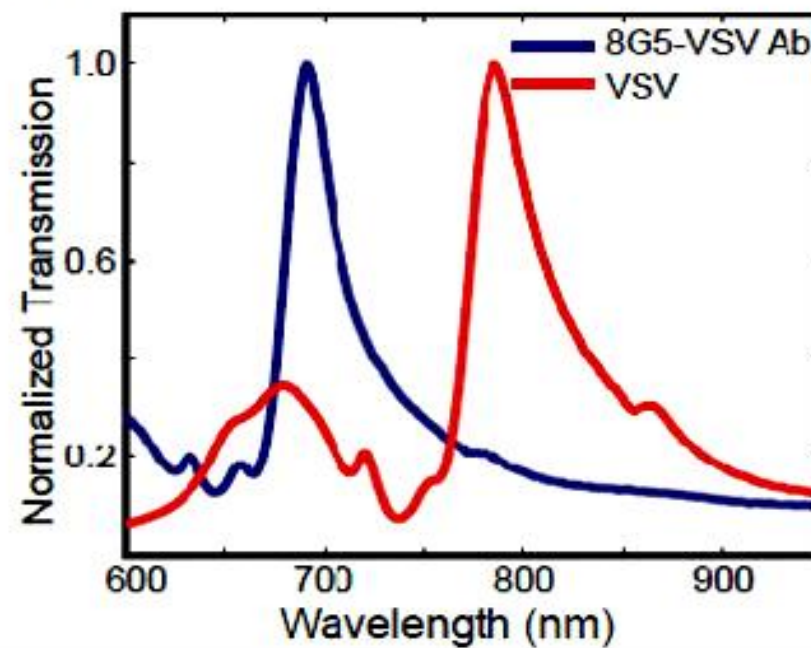
(b)



(c)

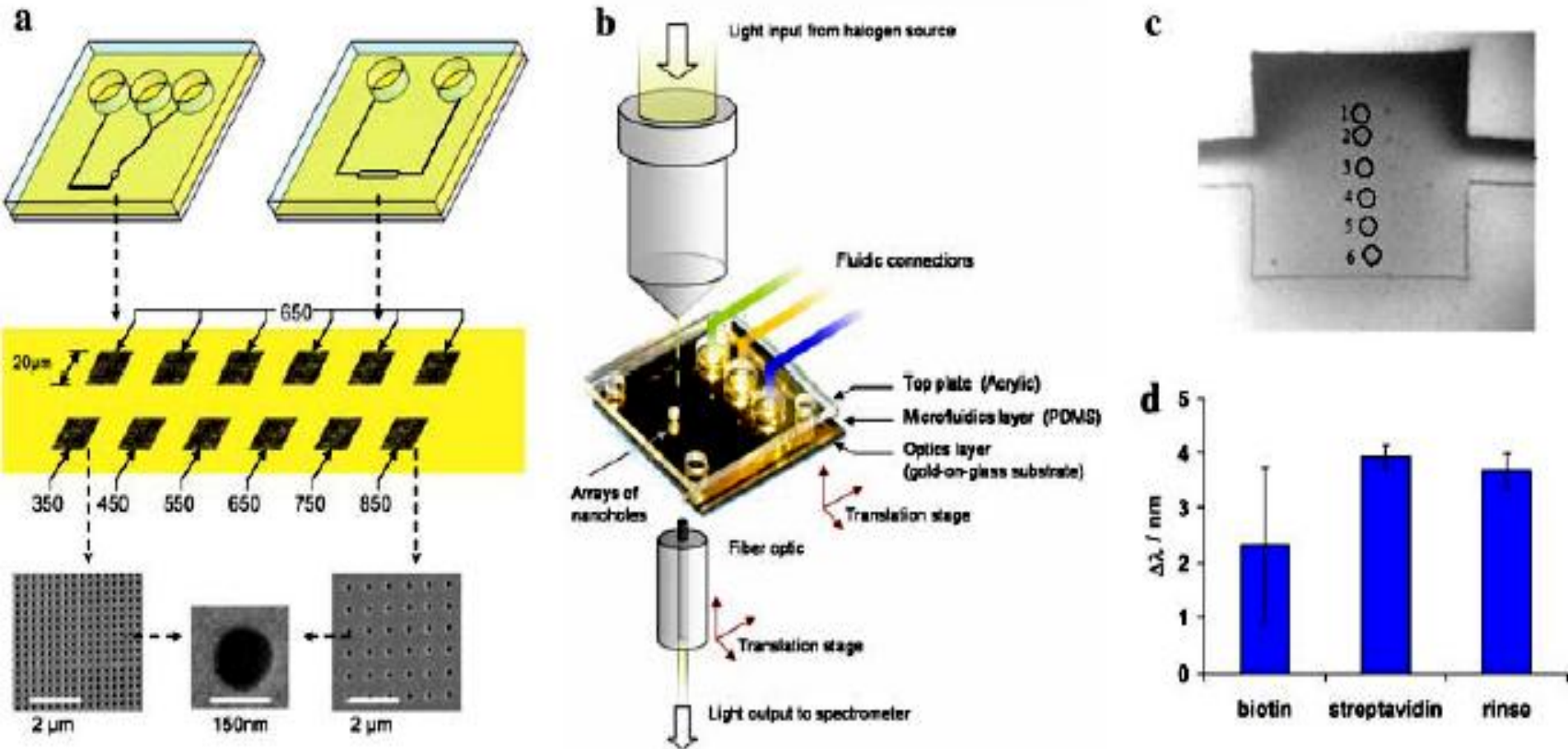


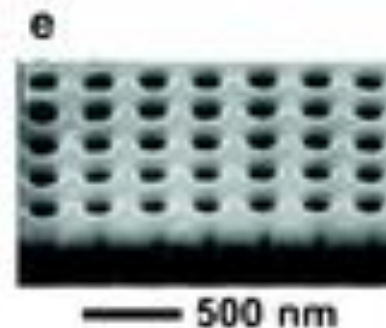
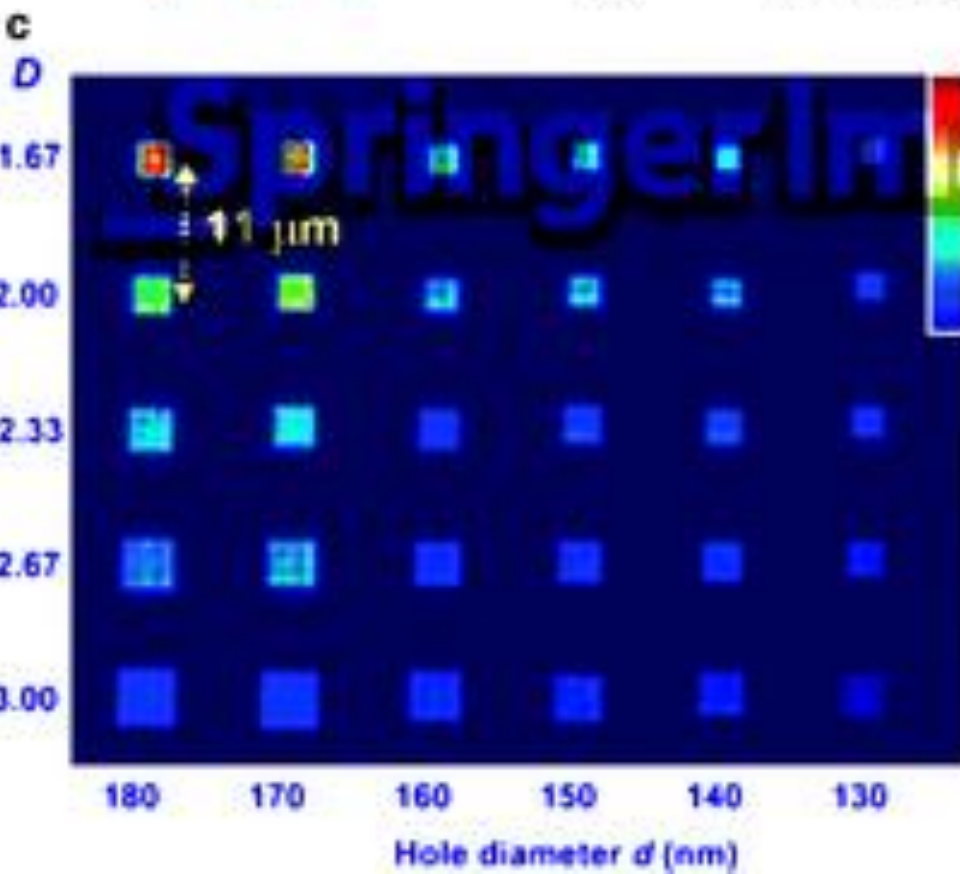
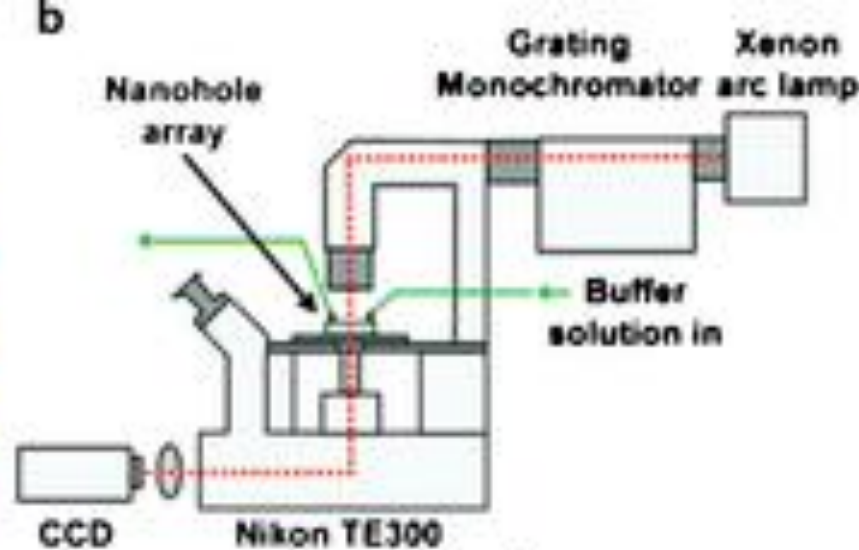
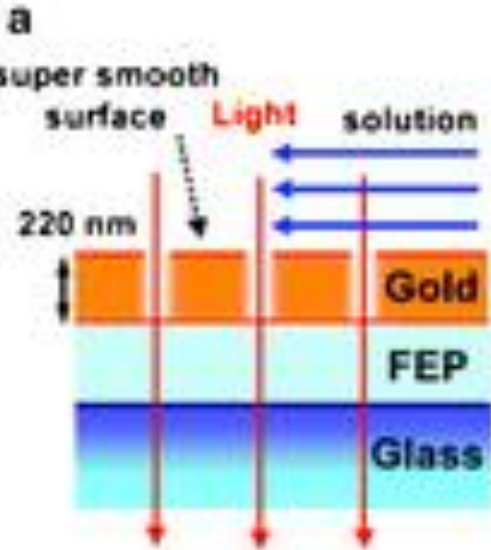
(d)



IMMUNOASSAY

On-chip integration and application of nanoholes array





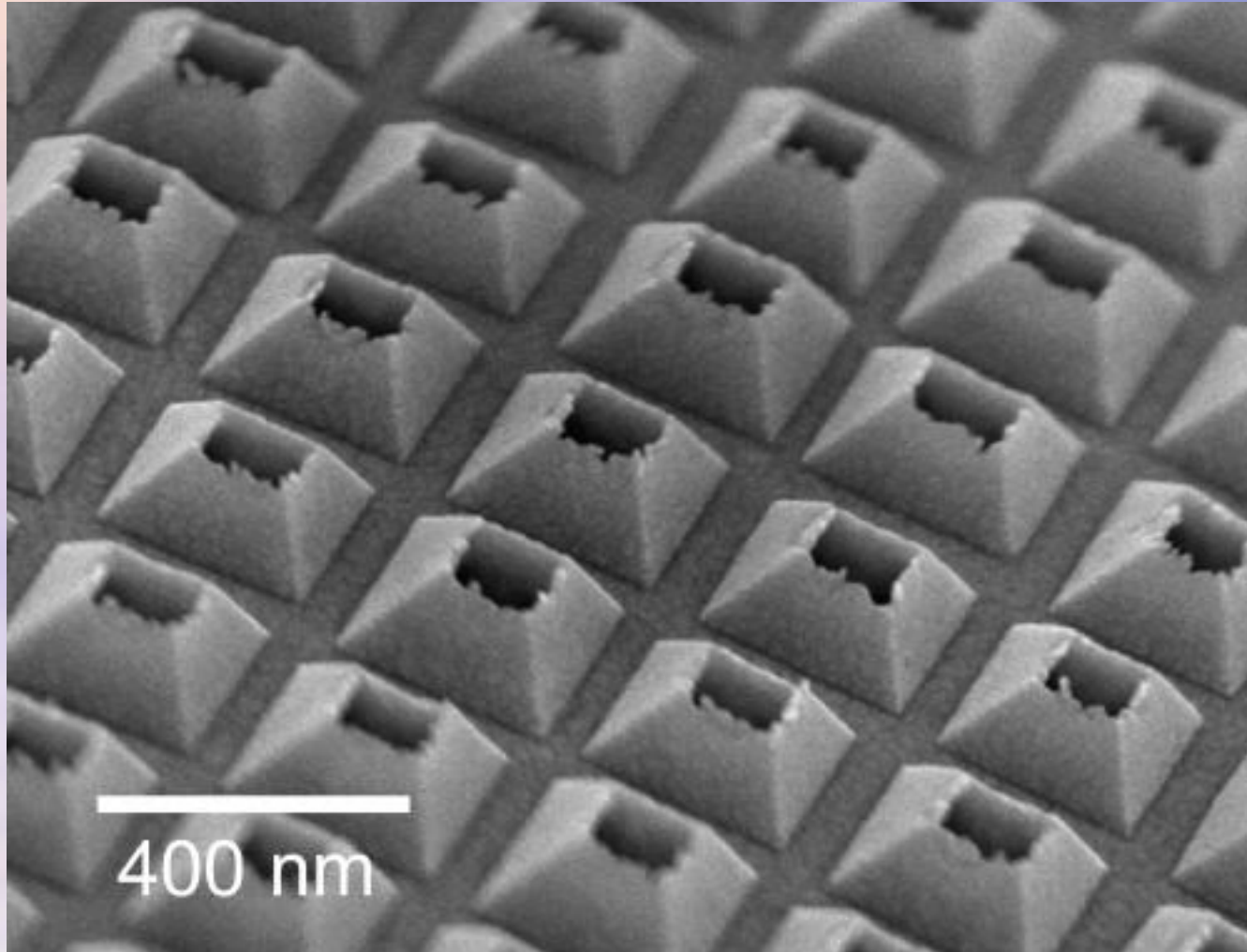
a Sample introduction onto a nanohole array biosensor.

b Nanohole array instrumental setup.

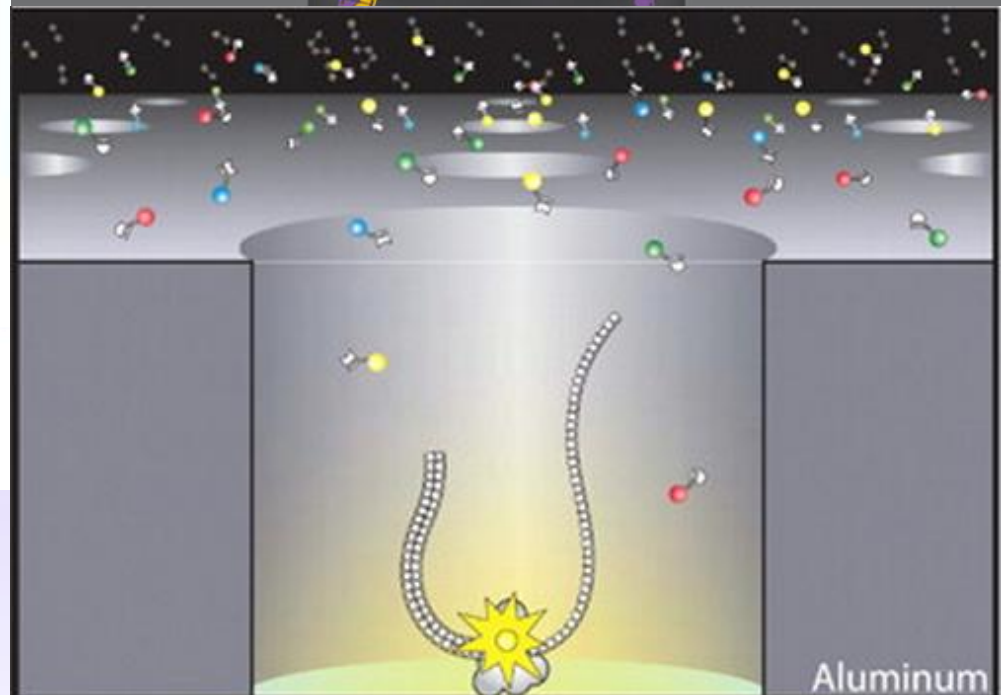
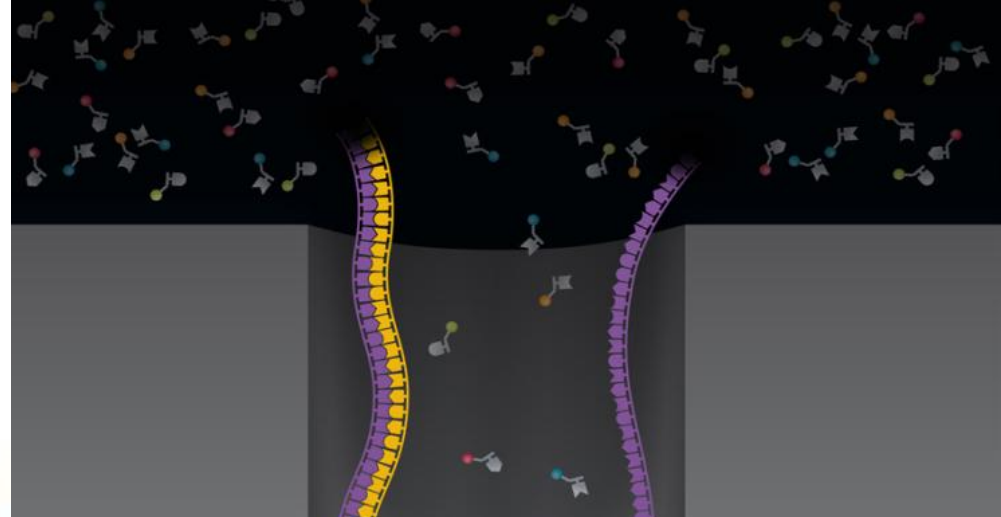
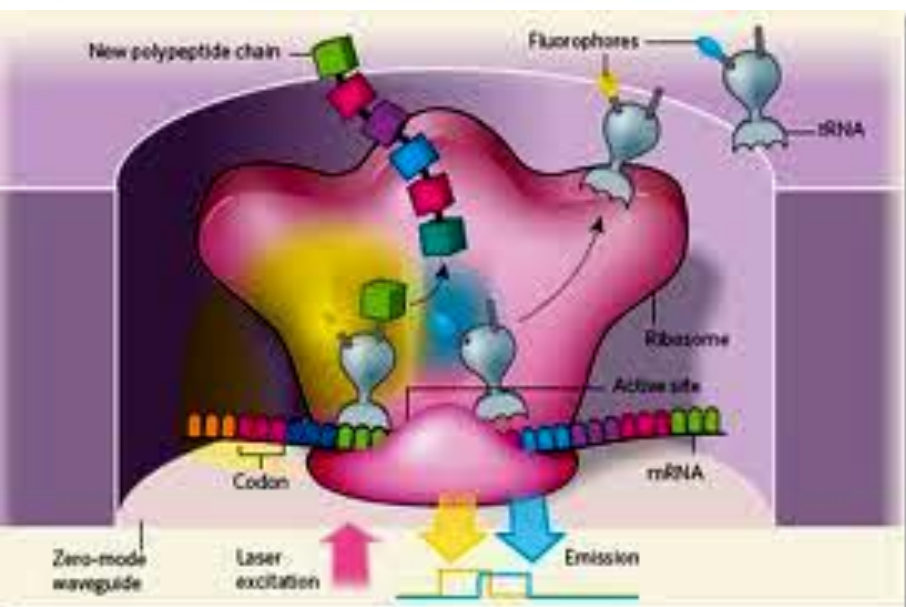
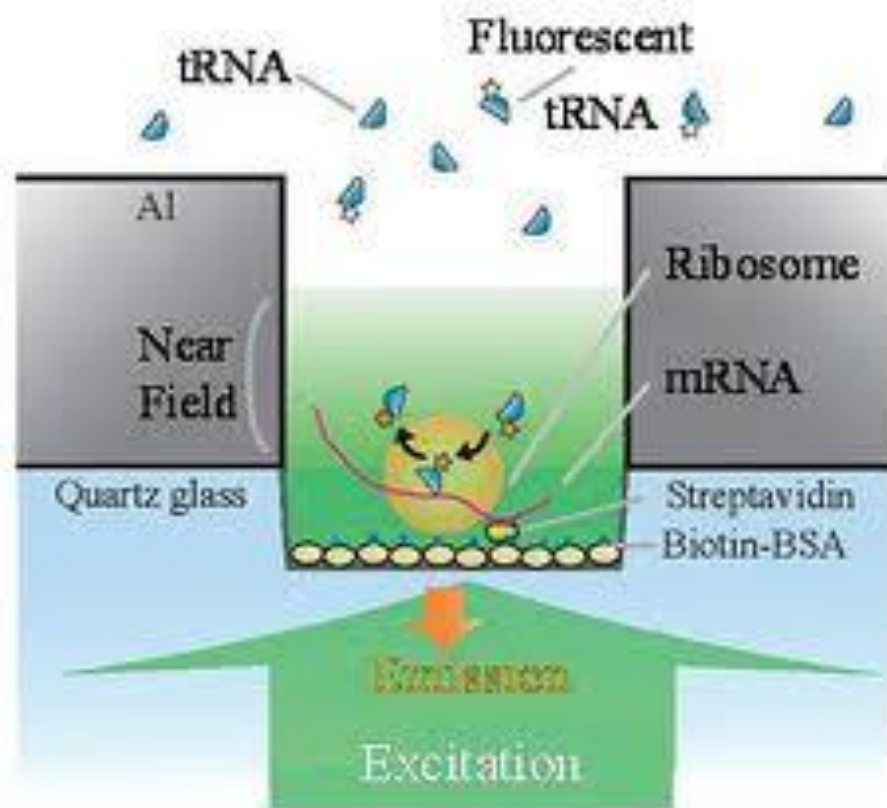
c CCD image of 30 sets of nanohole arrays **having different geometries.**

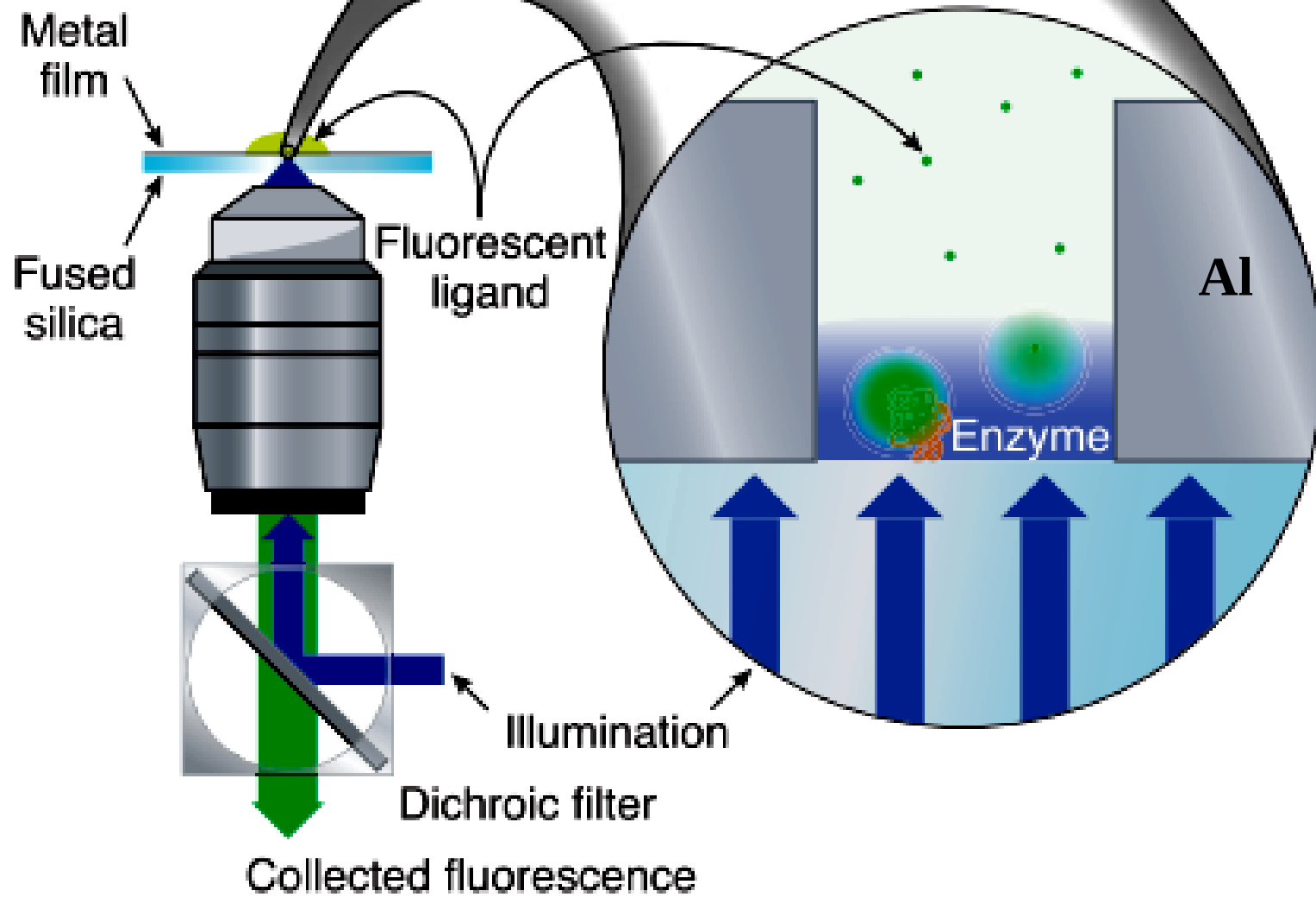
d, e SEM showing a top and a side view of a 9×9 nanohole array.

3D nanohole arrays for future plasmonic devices

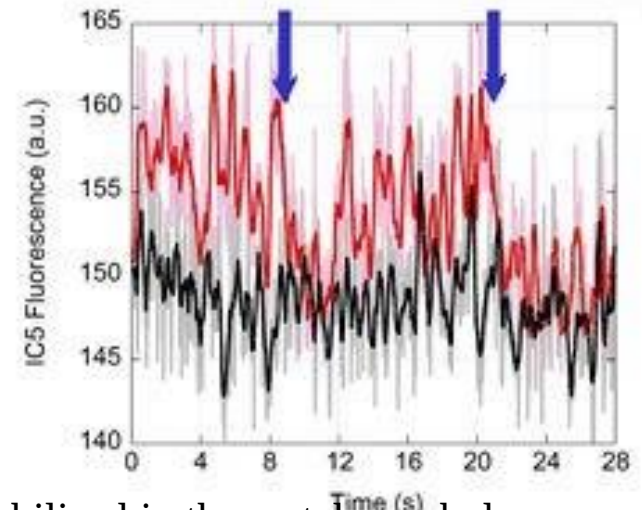
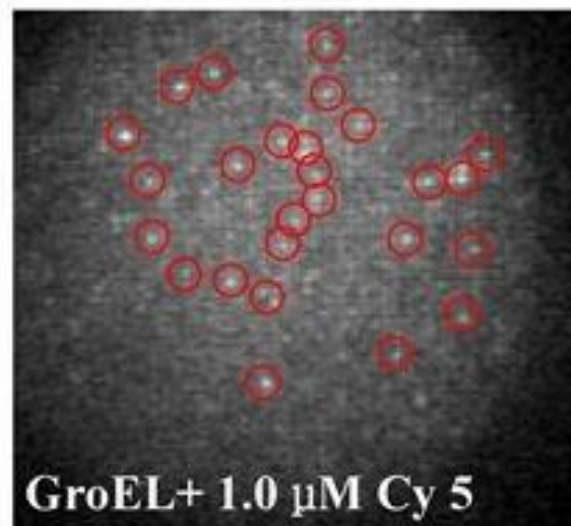
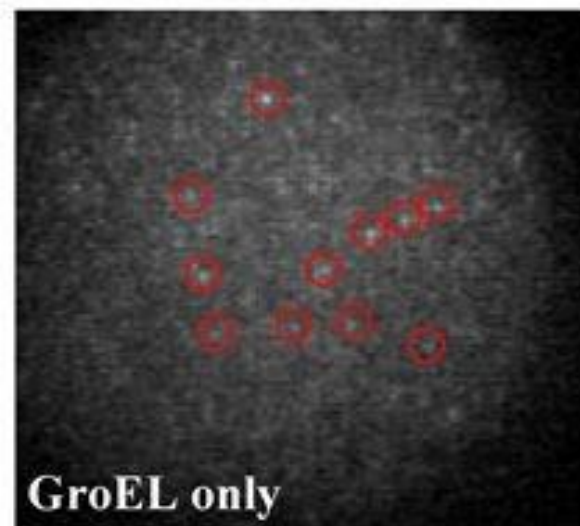
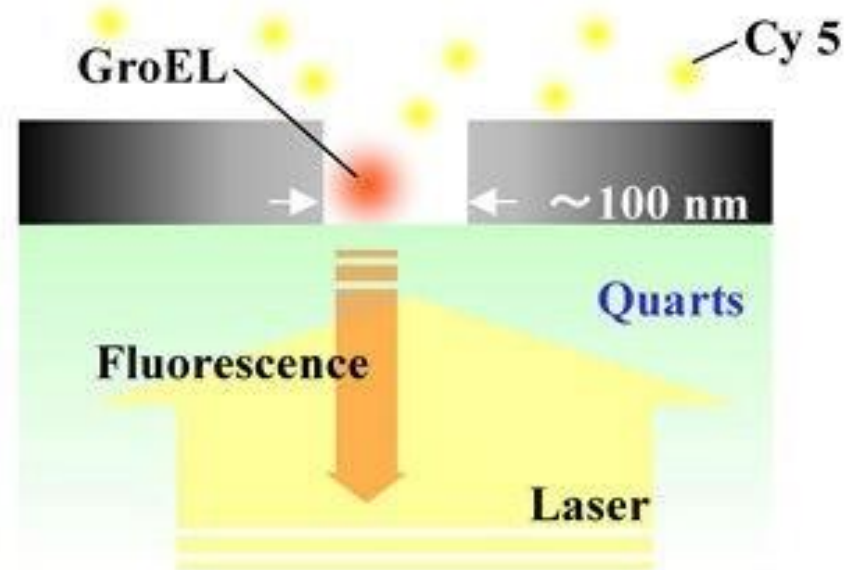
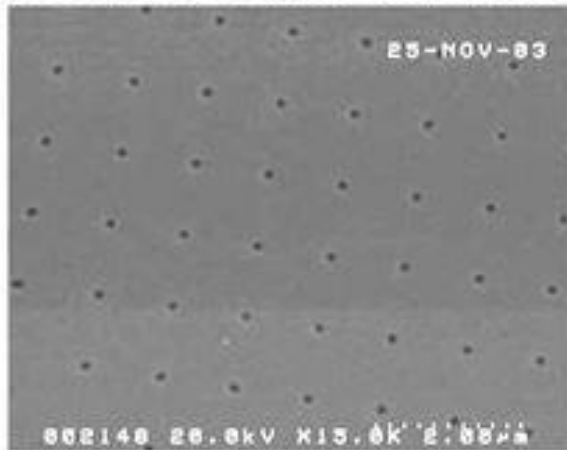


LSPs dominate the EOT more than SPPs on the same substrate.
Optical transmission from 3D hole arrays is an order of magnitude higher than that from 2D planar hole arrays.

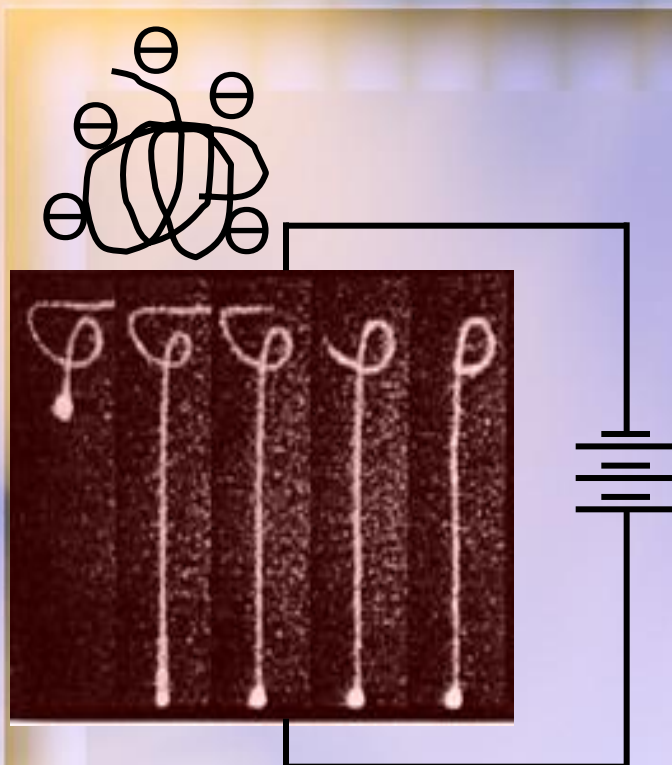




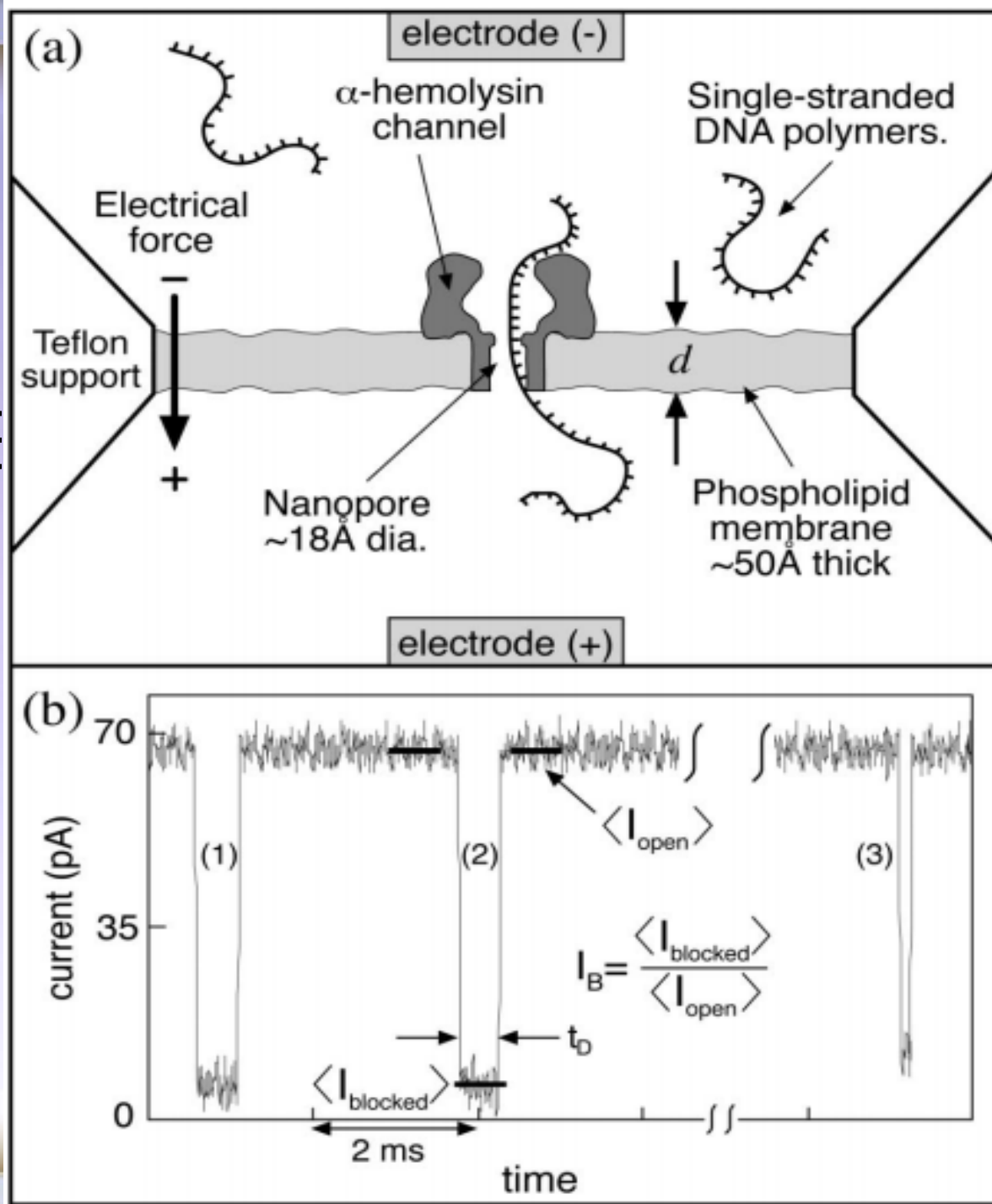
Metal Nanohole Array Fabricated on Quarts Substrate



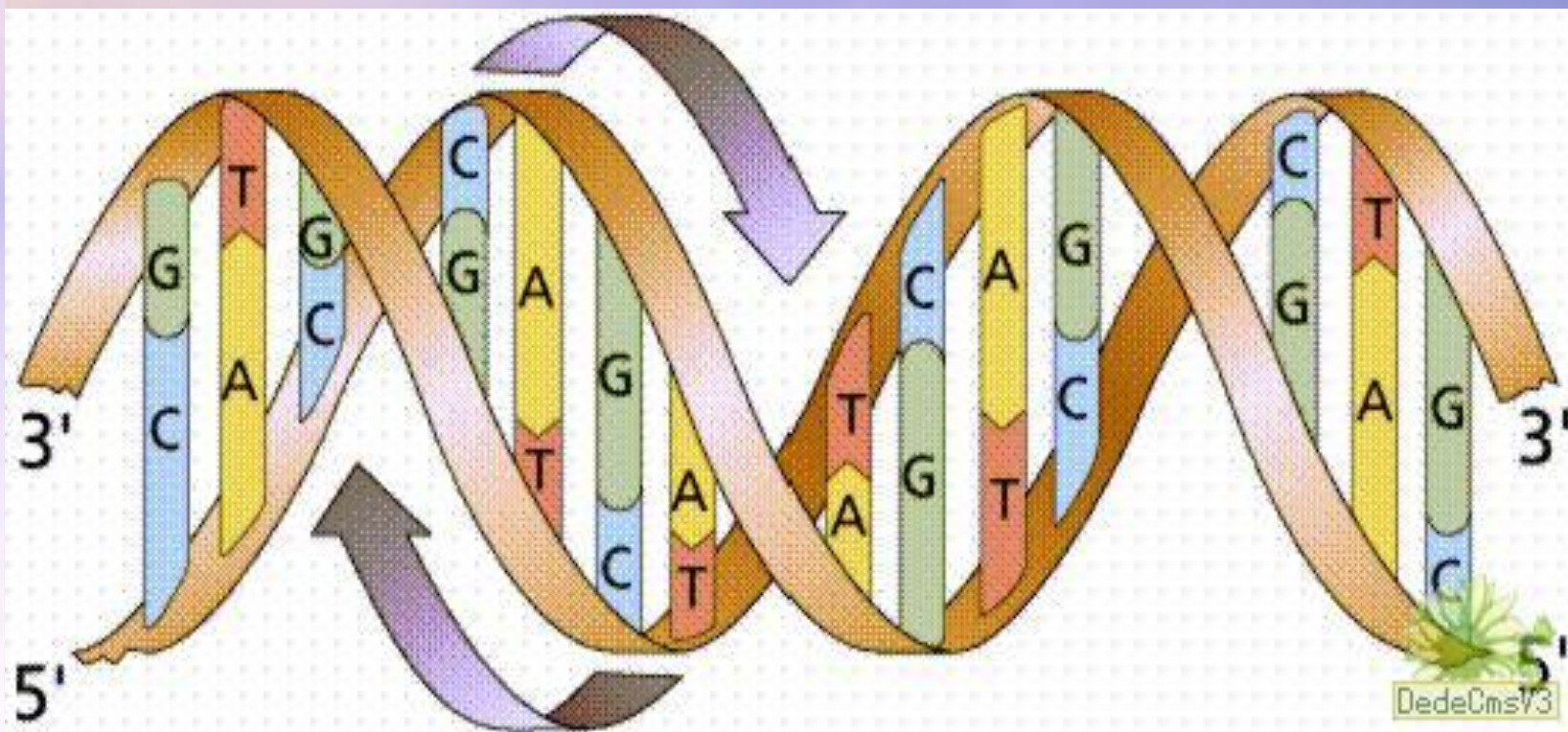
Fluorescence images of single GroEL enzymes labeled with IC-5 immobilized in the metal nanohole array on a quartz glass substrate. The quantized **photo-bleaching** proves the single molecule detection in each nanohole. The fluorescent dye Cy-5, which is diffused in water so that it mimics a protein in order to interact with GroEL, does not produce background noises because the laser light is guided only to the immobilized GroEL via the nanohole wave-guides.

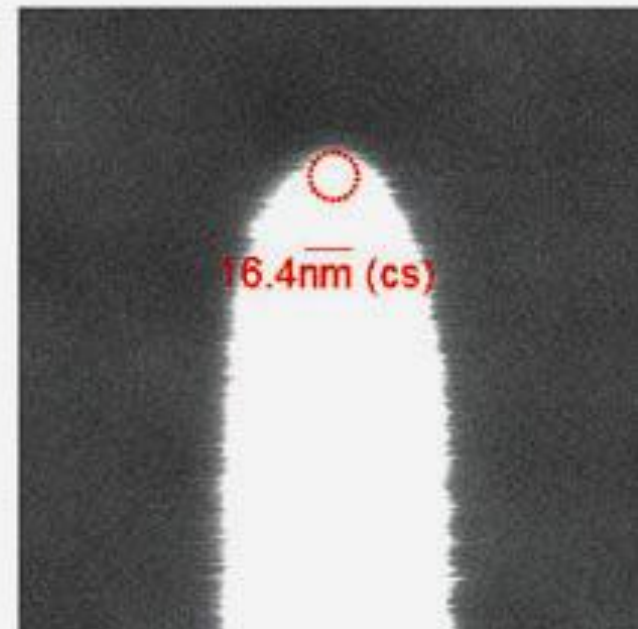


PRL, 86, 3435-3438 (2001)



双螺旋DNA结构图







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THE END



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